

## INTERSECTION SPACES, EQUIVARIANT MOORE APPROXIMATION AND THE SIGNATURE

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ABSTRACT. We generalize the first author's construction of intersection spaces to the case of stratified pseudomanifolds of stratification depth 1 with twisted link bundles, assuming that each link possesses an equivariant Moore approximation for a suitable choice of structure group. As a by-product, we find new characteristic classes for fiber bundles admitting such approximations. For trivial bundles and flat bundles whose base has finite fundamental group these classes vanish. For oriented closed pseudomanifolds, we prove that the reduced rational cohomology of the intersection spaces satisfies global Poincaré duality across complementary perversities if the characteristic classes vanish. The signature of the intersection spaces agrees with the Novikov signature of the top stratum. As an application, these methods yield new results about the Goresky-MacPherson intersection homology signature of pseudomanifolds. We discuss several nontrivial examples, such as the case of flat bundles and symplectic toric manifolds.

### 1. INTRODUCTION

Classical approaches to Poincaré duality on singular spaces are Cheeger's  $L^2$  cohomology with respect to suitable conical metrics on the regular part of the space ([16], [15], [17]), and Goresky-MacPherson's intersection homology [22], [23], depending on a perversity parameter  $\bar{p}$ . More recently, the first author has introduced and investigated a different, spatial perspective on Poincaré duality for singular spaces ([3]). This approach associates to certain classes of singular spaces  $X$  a cell complex  $I^{\bar{p}}X$ , which depends on a perversity  $\bar{p}$  and is called an *intersection space* of  $X$ . Intersection spaces are required to be generalized rational geometric Poincaré complexes in the sense that when  $X$  is a closed oriented pseudomanifold, there is a Poincaré duality isomorphism  $\tilde{H}^i(I^{\bar{p}}X; \mathbb{Q}) \cong \tilde{H}_{n-i}(I^{\bar{q}}X; \mathbb{Q})$ , where  $n$  is the dimension of  $X$ ,  $\bar{p}$  and  $\bar{q}$  are complementary perversities in the sense of intersection homology theory, and  $\tilde{H}^*$ ,  $\tilde{H}_*$  denote reduced singular (or cellular) cohomology and homology.

The resulting homology and cohomology theories

$$HI_*^{\bar{p}}(X) = H_*(I^{\bar{p}}X; \mathbb{Q}) \quad \text{and} \quad HI_{\bar{p}}^*(X) = H^*(I^{\bar{p}}X; \mathbb{Q})$$

are *not* isomorphic to intersection (co)homology  $I^{\bar{p}}H_*(X; \mathbb{Q})$ ,  $I_{\bar{p}}H^*(X; \mathbb{Q})$ . Since its inception, the theory  $HI_{\bar{p}}^*$  has so far had applications in areas ranging from fiber bundle theory and computation of equivariant cohomology ([4]), K-theory ([3, Chapter 2.8], [37]), algebraic geometry (smooth deformation of singular varieties ([10], [11]), perverse sheaves [8], mirror symmetry [3, Chapter 3.8]), to theoretical Physics ([3, Chapter 3], [8]). For example, the approach of intersection spaces makes it straightforward to define intersection  $K$ -groups by  $K^*(I^{\bar{p}}X)$ . These techniques are not accessible to classical intersection cohomology. There are applications to  $L^2$ -theory as well: In [9], for every perversity  $\bar{p}$  a Hodge theoretic description of the theory

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$\widetilde{HI}_p^*(X; \mathbb{R})$  is found; that is, a Riemannian metric on the top stratum (which is in fact a fiberwise scattering metric and thus very different from Cheeger's class of metrics) and a suitable space of  $L^2$  harmonic forms with respect to this metric (the extended weighted  $L^2$  harmonic forms for suitable weights) which is isomorphic to  $\widetilde{HI}_p^*(X; \mathbb{R})$ . A de Rham description of  $HI_p^*(X; \mathbb{R})$  has been given in [5] for two-strata spaces whose link bundle is flat with respect to the isometry group of the link.

At present, intersection spaces have been constructed for isolated singularities and for spaces with stratification depth 1 whose link bundles are a global product, [3]. Constructions of  $I^p X$  in some depth 2 situations have been provided in [7]. The fundamental idea in all of these constructions is to replace singularity links by their Moore approximations, a concept from homotopy theory Eckmann-Hilton dual to the concept of Postnikov approximations. In the present paper, we undertake a systematic treatment of twisted link bundles. Our method is to employ equivariant Moore approximations of links with respect to the action of a suitable structure group for the link bundle.

Equivariant Moore approximations are introduced in Section 3. On the one hand, the existence of such approximations is obstructed and we give a discussion of some obstructions. For instance, if  $S^{n-1}$  is the fiber sphere of a linear oriented sphere bundle, then the structure group can be reduced so as to allow for an equivariant Moore approximation to  $S^{n-1}$  of degree  $k$ ,  $0 < k < n$ , if and only if the Euler class of the sphere bundle vanishes (Proposition 12.1). If the action of a group  $G$  on a space  $X$  allows for a  $G$ -equivariant map  $X \rightarrow G$ , then the existence of a  $G$ -equivariant Moore approximation to  $X$  of positive degree  $k$  implies that the rational homological dimension of  $G$  is at most  $k - 1$ . On the other hand, we present geometric situations where equivariant Moore approximations exist. If the group acts trivially on a simply connected CW complex  $X$ , then a Moore approximation of  $X$  exists. If the group acts cellularly and the cellular boundary operator in degree  $k$  vanishes or is injective, then  $X$  has an equivariant Moore approximation. Furthermore, equivariant Moore approximations exist often for the effective Hamiltonian torus action of a symplectic toric manifold. For instance, we prove (Proposition 12.3) that 4-dimensional symplectic toric manifolds always possess  $T^2$ -equivariant Moore approximations of any degree.

In Section 6, we use equivariant Moore approximations to construct fiberwise homology truncation and cotruncation. Throughout, we use homotopy pushouts and review their properties (universal mapping property, Mayer-Vietoris sequence) in Section 2. Proposition 6.5 relates the homology of fiberwise (co)truncations to the intersection homology of the cone bundle of the given bundle. Of fundamental importance for the later developments is Lemma 6.6, which shows how the homology of the total space of a bundle is built up from the homology of the fiberwise truncation and cotruncation. In order to prove these facts, we employ a notion of precosheaves together with an associated local to global technique explained in Section 4. Proposition 6.7 establishes Poincaré duality between fiberwise truncations and complementary fiberwise cotruncations.

At this point, we discover a new set of *characteristic classes*

$$\mathcal{O}_i(\pi, k, l) \subset H^d(E; \mathbb{Q}), \quad d = \dim E, \quad i = 0, 1, 2, \dots,$$

defined for fiber bundles  $\pi : E \rightarrow B$  which possess degree  $k, l$  fiberwise truncations (Definition 6.8). We show that these characteristic classes vanish if the bundle is a global product (Proposition 6.11). Furthermore, they vanish for flat bundles if the fundamental group of the base is finite (Theorem 7.1). On the other hand, we construct in Example 6.13 a bundle  $\pi$  for which  $\mathcal{O}_2(\pi, 2, 1)$  does not vanish. The example shows also that the characteristic classes  $\mathcal{O}_*$  seem to

be rather subtle, since the bundle of the example is such that all the differentials of its Serre spectral sequence do vanish.

Now the relevance of these characteristic classes vis-à-vis Poincaré duality is the following: While, as mentioned above, there is always a Poincaré duality isomorphism between truncation and complementary cotruncation, this isomorphism is not determined uniquely and may not commute with Poincaré duality on the given total space  $E$ . Proposition 6.9 states that the duality isomorphism in degree  $r$  between fiberwise truncation and cotruncation can be chosen to commute with Poincaré duality on  $E$  if and only if  $\mathcal{O}_r(\pi, k, l)$  vanishes. In this case, the duality isomorphism is uniquely determined by the commutation requirement. Thus, we refer to the classes  $\mathcal{O}_*$  as *local duality obstructions*, since in the subsequent application to singular spaces, these classes are localized at the singularities.

The above bundle-theoretic analysis is then applied in Section 9 in constructing intersection spaces  $I^{\bar{p}}X$  for stratified pseudomanifolds  $X$  of stratification depth 1 such that every connected component of every singular stratum has a closed neighborhood whose boundary is the total space of a fiber bundle, the *link bundle*, while the neighborhood itself is described by the corresponding cone bundle. A large and well-studied class of stratified spaces that have such link bundle structures are the Thom-Mather stratified spaces, which we review in Section 8 with particular emphasis on depth 1. We assume that the link bundles allow for structure groups with equivariant Moore approximations. The central definition is 9.1; the main result here, Theorem 9.5, establishes generalized Poincaré duality

$$(1.1) \quad \tilde{H}^r(I^{\bar{p}}X; \mathbb{Q}) \cong \tilde{H}_{n-r}(I^{\bar{q}}X; \mathbb{Q})$$

for complementary perversity intersection spaces, provided the local duality obstructions of the link bundle vanish.

In the Sections 10, 11, we investigate the signature and Witt element of intersection forms. We show first that if a Witt space allows for middle-degree equivariant Moore approximation, then its intersection form on intersection homology agrees with the intersection form of the top stratum as an element in the Witt group  $W(\mathbb{Q})$  of the rationals (Corollary 10.2). Section 11 shows that the duality isomorphism (1.1), where we now use the (lower) middle perversity, can in fact be constructed so that the associated middle-degree intersection form is symmetric when the dimension  $n$  is a multiple of 4. Let  $\sigma(IX)$  denote the signature of this symmetric form. Theorem 11.3 asserts that  $\sigma(IX) = \sigma(M, \partial M)$ , where  $\sigma(M, \partial M)$  denotes the signature of the top stratum. In particular then,  $\sigma(IX)$  agrees with the intersection homology signature. For the rather involved proof of this theorem, we build on the method of Spiegel [37], which in turn is partially based on the methods introduced in the proof of [3, Theorem 2.28]. It follows from all of this that there are interesting global signature obstructions to fiberwise homology truncation in bundles. For instance, viewing the complex projective space  $\mathbb{C}P^2$  as a stratified space with bottom stratum  $\mathbb{C}P^1 \subset \mathbb{C}P^2$ , the signature of  $\mathbb{C}P^2$  is 1, whereas the signature of the top stratum  $D^4$  vanishes. Indeed, the normal circle bundle of  $\mathbb{C}P^1$ , i.e. the Hopf bundle, does not have a degree 1 fiberwise homology truncation, as can of course be verified directly.

*On notation:* Throughout this paper, all homology and cohomology groups are taken with rational coefficients. Reduced homology and cohomology will be denoted by  $\tilde{H}_*$  and  $\tilde{H}^*$ . The linear dual of a  $K$ -vector space  $V$  is denoted by  $V^\dagger = \text{Hom}(V, K)$ .

## 2. PROPERTIES OF HOMOTOPY PUSHOUTS

This paper uses homotopy pushouts in many constructions. We recall here their definition, as well as the two properties we will need: their universal mapping property and the associated Mayer-Vietoris sequence.

**Definition 2.1.** Given continuous maps  $Y_1 \xleftarrow{f_1} X \xrightarrow{f_2} Y_2$  between topological spaces we define the *homotopy pushout* of  $f_1$  and  $f_2$  to be the topological space  $Y_1 \cup_X Y_2$ , the quotient of the disjoint union  $X \times [0, 1] \sqcup Y_1 \sqcup Y_2$  by the smallest equivalence relation generated by

$$\{(x, 0) \sim f_1(x) \mid x \in X\} \cup \{(x, 1) \sim f_2(x) \mid x \in X\}$$

We denote  $\xi_i : Y_i \rightarrow Y_1 \cup_X Y_2$ , for  $i = 1, 2$ , and  $\xi_0 : X \times I \rightarrow Y_1 \cup_X Y_2$ , to be the inclusions into the disjoint union followed by the quotient map, where  $I = [0, 1]$ .

**Remark 2.2.** The homotopy pushout satisfies the following universal mapping property: Given any topological space  $Z$ , continuous maps  $g_i : Y_i \rightarrow Z$ , and homotopy  $h : X \times I \rightarrow Z$  satisfying  $h(x, i) = g_{i+1} \circ f_{i+1}(x)$  for  $x \in X$ , and  $i = 0, 1$ , then there exists a unique continuous map  $g : Y_1 \cup_X Y_2 \rightarrow Z$  such that  $g_i = g \circ \xi_i$  for  $i = 1, 2$ , and  $h = g \circ \xi_0$ .

From the data of a homotopy pushout we get a long exact sequence of homology groups

$$(2.1) \quad \cdots \longrightarrow H_r(X) \xrightarrow{(f_{1*}, f_{2*})} H_r(Y_1) \oplus H_r(Y_2) \xrightarrow{\xi_{1*} - \xi_{2*}} H_r(Y_1 \cup_X Y_2) \xrightarrow{\delta} \cdots$$

This is the usual Mayer-Vietoris sequence applied to  $Y_1 \cup_X Y_2$  when it is decomposed into the union of  $(Y_1 \cup_X Y_2) \setminus Y_i$  for  $i = 1, 2$ , whose overlap is  $X$  crossed with the open interval. If  $X$  is not empty, then there is also a version for reduced homology:

$$(2.2) \quad \cdots \longrightarrow \tilde{H}_r(X) \xrightarrow{(f_{1*}, f_{2*})} \tilde{H}_r(Y_1) \oplus \tilde{H}_r(Y_2) \xrightarrow{\xi_{1*} - \xi_{2*}} \tilde{H}_r(Y_1 \cup_X Y_2) \xrightarrow{\delta} \cdots$$

### 3. EQUIVARIANT MOORE APPROXIMATION

Our method to construct intersection spaces for twisted link bundles rests on the concept of an equivariant Moore approximation. The transformation group of the general abstract concept will eventually be a suitable reduction of the structure group of a fiber bundle, which will enable fiberwise truncation and cotruncation. The basic idea behind degree- $k$  Moore approximations of a space  $X$  is to find a space  $X_{<k}$ , whose homology agrees with that of  $X$  below degree  $k$ , and vanishes in all other degrees. It is well-known that Moore-approximations cannot be made functorial on the category of all topological spaces and continuous maps, as explained in [3]. The equivariant Moore space problem was raised in 1960 by Steenrod, who asked whether given a group  $G$ , a right  $\mathbb{Z}[G]$ -module  $M$  and an integer  $k > 1$ , there exists a topological space  $\tilde{X}$  such that  $\pi_1(\tilde{X}) = G$ ,  $H_i(\tilde{X}; \mathbb{Z}) = 0$ ,  $i \neq 0, k$ ,  $H_0(\tilde{X}; \mathbb{Z}) = \mathbb{Z}$ , and  $H_k(\tilde{X}; \mathbb{Z}) = M$ , where  $\tilde{X}$  is the universal cover of  $X$ , equipped with the  $G$ -action by covering translations. The first counterexample was due to Gunnar Carlsson, [14]. Further work on Steenrod's problem has been done by Douglas Anderson [1], James Arnold [2], Peter Kahn [26], [27], Frank Quinn [34], and Justin Smith [36].

**Definition 3.1.** Let  $G$  be a topological group. A  $G$ -space is a pair  $(X, \rho_X)$ , where  $X$  is a topological space and  $\rho_X : G \rightarrow \text{Homeo}(X)$  is a continuous group homomorphism. A *morphism* between  $G$ -spaces  $f : (X, \rho_X) \rightarrow (Y, \rho_Y)$  is a continuous map  $f : X \rightarrow Y$  that satisfies

$$\rho_Y(g) \circ f = f \circ \rho_X(g), \text{ for every } g \in G.$$

We denote the set of morphisms by  $\text{Hom}_G(X, Y)$ . Morphisms are also called  *$G$ -equivariant maps*. We will write  $g \cdot x = \rho_X(g)(x)$ ,  $x \in X$ ,  $g \in G$ .

Let  $cX$  be the closed cone  $X \times [0, 1]/X \times \{0\}$ . If  $X$  is a  $G$ -space, then the cone  $cX$  becomes a  $G$ -space in a natural way: the cone point is a fixed point and for  $t \in (0, 1]$ ,  $g \in G$  acts

by  $g \cdot (x, t) = (g \cdot x, t)$ . More generally, given  $G$ -equivariant maps  $Y_1 \xleftarrow{f_1} X \xrightarrow{f_2} Y_2$ , the homotopy pushout  $Y_1 \cup_X Y_2$  is a  $G$ -space in a natural way.

**Definition 3.2.** Given a  $G$ -space  $X$  and an integer  $k \geq 0$ , a  $G$ -equivariant Moore approximation to  $X$  of degree  $k$  is a  $G$ -space  $X_{<k}$  together with a continuous  $G$ -equivariant map  $f_{<k} : X_{<k} \rightarrow X$ , satisfying the following properties:

- $H_r(f_{<k}) : H_r(X_{<k}) \rightarrow H_r(X)$  is an isomorphism for all  $r < k$ , and
- $H_r(X_{<k}) = 0$  for all  $r \geq k$ .

**Definition 3.3.** Let  $X$  be a nonempty topological space. The ( $\mathbb{Q}$ -coefficient) *homological dimension* of  $X$  is the number

$$\text{Hdim}(X) = \min \{n \in \mathbb{Z} : H_m(X) = 0 \text{ for all } m > n\},$$

if such an  $n$  exists. If no such  $n$  exists, then we say that  $X$  has infinite homological dimension.

**Example 3.4.** There are two extreme cases, in which equivariant Moore approximations are trivial to construct. For  $k = 0$ , any Moore approximation must satisfy  $H_i(X_{<0}) = 0$ , for all  $i \geq 0$ . This forces  $X_{<0} = \emptyset$ , and  $f_{<0}$  is the empty function. If  $X$  has  $\text{Hdim}(X) = n$ , then for  $k \geq n + 1$  set  $X_{<k} = X$  and  $f_{<k} = \text{id}_X$ . Hence, any space of homological dimension  $n$  has an equivariant Moore approximation of degrees  $k \leq 0$  and  $k > n$ .

**Example 3.5.** If  $G$  acts trivially on a simply connected CW complex  $X$ , then Moore approximations of  $X$  exist in every degree. For spatial homology truncation in the nonequivariant case, see Chapter 1 of [3], which also contains a discussion of functoriality issues arising in connection with Moore approximations. The simple connectivity condition is sufficient, but far from necessary.

**Example 3.6.** Let  $G$  be a compact Lie group acting smoothly on a smooth manifold  $X$ . Then, according to [25], one can arrange a CW structure on  $X$  in such a way that  $G$  acts cellularly. Now suppose that  $X$  is any  $G$ -space equipped with a CW structure such that  $G$  acts cellularly. If the  $k$ -th boundary operator  $\partial_k : C_k(X) \rightarrow C_{k-1}(X)$  in the cellular chain complex of  $X$  vanishes, then the  $(k - 1)$ -skeleton of  $X$ , together with its inclusion into  $X$  and endowed with the restricted  $G$ -action, is an equivariant Moore-approximation  $X_{<k} = X^{k-1}$ . This condition is for example satisfied for the standard minimal CW structure on complex projective spaces and tori. However, in order to make a given action cellular, one may of course be forced to endow spaces with larger, nonminimal, CW structures. Similarly, if  $\partial_k$  is injective, then  $X_{<k} = X^k$  is an equivariant Moore-approximation.

The following observation can sometimes be used to show that certain  $G$ -spaces and degrees do not allow for an equivariant Moore approximation.

**Proposition 3.7.** *Let  $G$  be a topological group and  $X$  a nonempty  $G$ -space. Let  $G_\lambda$  be the  $G$ -space  $G$  with the action by left translation. If*

$$\text{Hom}_G(X, G_\lambda) \neq \emptyset$$

*and  $X$  has a  $G$ -equivariant Moore approximation of degree  $k > 0$ , then*

$$k - 1 \geq \text{Hdim}(G).$$

*Proof.* Let  $f_{<k} : X_{<k} \rightarrow X$  be a  $G$ -equivariant Moore approximation,  $k > 0$ . Precomposition with  $f_{<k}$  induces a map

$$f_{<k}^\# : \text{Hom}_G(X, G_\lambda) \rightarrow \text{Hom}_G(X_{<k}, G_\lambda).$$

As  $k > 0$  and  $X$  is not empty, we have  $H_0(X_{<k}) \cong H_0(X) \neq 0$ . Thus  $X_{<k}$  is not empty. For each  $\phi \in \text{Hom}_G(X_{<k}, G_\lambda)$ , we note that  $\phi$  is surjective since  $X_{<k}$  is not empty, left translation is transitive and  $\phi$  is equivariant. Choose  $x \in X_{<k}$  such that  $\phi(x) = e$ . Define  $h_x : G \rightarrow X_{<k}$  by  $h_x(g) = g \cdot x$ . Then  $\phi \circ h_x = \text{id}_G$ , since

$$\phi(h_x(g)) = \phi(g \cdot x) = g\phi(x) = ge = g.$$

Therefore the map induced by  $\phi$  on homology has a splitting induced by  $h_x$ , so there is an isomorphism

$$H_r(X_{<k}) \cong A_r \oplus H_r(G)$$

for some subgroup  $A_r \subset H_r(X_{<k})$  and every  $r$ . Since by definition  $H_r(X_{<k}) = 0$  for  $r \geq k$ , then if such a  $\phi$  exists we must have  $\text{Hdim}(G) \leq k - 1$ . The condition  $\text{Hom}_G(X, G_\lambda) \neq \emptyset$  is sufficient to guarantee the existence of such a  $\phi$ .  $\square$

**Example 3.8.** By Proposition 3.7, the action of  $S^1$  on itself by rotation does not have an equivariant Moore space approximation of degree 1.

Consider  $S^1$  acting on  $X = S^1 \times S^2$  by rotation in the first coordinate and trivially in the second coordinate. Example 3.4 shows that for  $k \leq 0$  and  $k \geq 4$ ,  $S^1$ -equivariant Moore approximations exist trivially. By Proposition 3.7, there is no such approximation for  $k = 1$ . We shall now construct an approximation for degree  $k = 2$ . Fix a point  $y_0 \in S^2$ . Let  $i : S^1 \rightarrow X$ ,  $\theta \mapsto (\theta, y_0)$ , be the inclusion at  $y_0$ . Let  $S^1$  act on itself by rotation, then the map  $i$  is equivariant. Furthermore, by the Künneth theorem we know that  $H_1(X) \cong \mathbb{Q}$  is generated by the class  $[S^1 \times y_0]$ , and  $H_1(i) : H_1(S^1) \rightarrow H_1(X)$  is an isomorphism taking  $[S^1]$  to  $[S^1 \times y_0]$ . Thus since both  $S^1$  and  $X$  are connected, we have that the map  $i$  gives a  $S^1$ -equivariant Moore space approximation of degree 2.

Further positive results asserting the existence of Moore approximations in geometric situations such as symplectic toric manifolds are discussed in Section 12.

#### 4. PRECOSHEAVES AND LOCAL TO GLOBAL TECHNIQUES

The material of this section is fairly standard ([12]); we include it in order to fix terminology and notation. Let  $B$  be a topological space and let  $VS_{\mathbb{Q}}$  denote the category of rational vector spaces and linear maps.

**Definition 4.1.** A covariant functor  $\mathcal{F} : \tau B \rightarrow VS_{\mathbb{Q}}$  from the category  $\tau B$  of open sets on  $B$ , with inclusions for morphisms, to the category  $VS_{\mathbb{Q}}$ , is called a *precosheaf* on  $B$ . For open sets  $U \subset V \subset B$ , we denote the result of applying  $\mathcal{F}$  to the inclusion map  $U \subset V$  by

$$i_{U,V}^{\mathcal{F}} : \mathcal{F}(U) \rightarrow \mathcal{F}(V).$$

A *morphism*  $f : \mathcal{F} \rightarrow \mathcal{G}$  of precosheaves on  $B$  is a natural transformation of functors.

Let  $\mathcal{U} = \{U_\alpha\}_{\alpha \in \Lambda}$  be an open cover of  $B$ , and let  $\tau\mathcal{U}$  be the category whose objects are unions of finite intersections of open sets in  $\mathcal{U}$  and whose morphisms are inclusions. There is a natural inclusion functor  $u : \tau\mathcal{U} \rightarrow \tau B$ , regarding an open set in  $\tau\mathcal{U}$  as an object of  $\tau B$ . This realizes  $\tau\mathcal{U}$  as a full subcategory of  $\tau B$ .

**Definition 4.2.** A precosheaf  $\mathcal{F}$  on  $B$  is  *$\mathcal{U}$ -locally constant* if for any  $U_\alpha \in \mathcal{U}$  and any  $U$  which is a finite intersection of elements of  $\mathcal{U}$  and intersects  $U_\alpha$  nontrivially, the map

$$i_{U_\alpha \cap U, U_\alpha}^{\mathcal{F}} : \mathcal{F}(U_\alpha \cap U) \rightarrow \mathcal{F}(U_\alpha)$$

is an isomorphism.

Consider the product category  $\tau\mathcal{U} \times \tau\mathcal{U}$  whose objects are pairs  $(U, V)$  with  $U, V \in \tau\mathcal{U}$ , and whose morphism are pairs of inclusions  $(U, V) \rightarrow (U', V')$  given by  $U \subset U'$  and  $V \subset V'$ . Define the functors  $\cap, \cup : \tau\mathcal{U} \times \tau\mathcal{U} \rightarrow \tau\mathcal{U}$  that take the object  $(U, V)$  to  $U \cap V$  and  $U \cup V$ , respectively, and the morphism  $(U, V) \rightarrow (U', V')$  to the inclusions  $U \cap V \subset U' \cap V'$  and  $U \cup V \subset U' \cup V'$ . Similarly we have the projection functors  $p_i : \tau\mathcal{U} \times \tau\mathcal{U} \rightarrow \tau\mathcal{U}$ , for  $i = 1, 2$  where  $p_i$  projects onto the  $i$ -th factor. The inclusions  $U, V \subset U \cup V$  and  $U \cap V \subset U, V$  induce natural transformations of functors  $j_i : p_i \rightarrow \cup$ , and  $\iota_i : \cap \rightarrow p_i$  for  $i = 1, 2$ . Applying a presheaf  $\mathcal{F}$  to the  $j_i(U, V)$ , we obtain linear maps  $\mathcal{F}(U) \rightarrow \mathcal{F}(U \cup V)$ ,  $\mathcal{F}(V) \rightarrow \mathcal{F}(U \cup V)$ , which we will again denote by  $j_1, j_2$  (rather than  $\mathcal{F}(j_i(U, V))$ ). Similarly for the  $\iota_i$ . Thus for any presheaf  $\mathcal{F}$  on  $B$  we have the morphisms

$$\mathcal{F}(U \cap V) \xrightarrow{(\iota_1, \iota_2)} \mathcal{F}(U) \oplus \mathcal{F}(V) \xrightarrow{j_1 - j_2} \mathcal{F}(U \cup V)$$

for any object  $(U, V)$  in  $\tau\mathcal{U} \times \tau\mathcal{U}$ . The functoriality of  $\mathcal{F}$  implies that  $(j_1 - j_2) \circ (\iota_1, \iota_2) = 0$ .

Any morphism of presheaves  $f : \mathcal{F} \rightarrow \mathcal{G}$  gives a commutative diagram

$$(4.1) \quad \begin{array}{ccccc} \mathcal{F}(U \cap V) & \xrightarrow{(\iota_1, \iota_2)} & \mathcal{F}(U) \oplus \mathcal{F}(V) & \xrightarrow{j_1 - j_2} & \mathcal{F}(U \cup V) \\ \downarrow f(U \cap V) & & \downarrow f(U) \oplus f(V) & & \downarrow f(U \cup V) \\ \mathcal{G}(U \cap V) & \xrightarrow{(\iota_1, \iota_2)} & \mathcal{G}(U) \oplus \mathcal{G}(V) & \xrightarrow{j_1 - j_2} & \mathcal{G}(U \cup V). \end{array}$$

**Definition 4.3.** Let  $\mathcal{F}_r$  be a collection of presheaves on  $B$ , for  $r \geq 0$ , and let  $\mathcal{U}$  be an open cover of  $B$ . We say that the sequence  $\mathcal{F}_r$  satisfies the  $\mathcal{U}$ -Mayer-Vietoris property if there is a natural transformation of functors on  $\tau\mathcal{U} \times \tau\mathcal{U}$ ,

$$\delta_i^{\mathcal{F}} : \mathcal{F}_i \circ \cup \longrightarrow \mathcal{F}_{i-1} \circ \cap,$$

for each  $i$ , such that for every pair of open sets  $U, V \in \tau\mathcal{U}$  the following sequence is exact:

$$\longrightarrow \mathcal{F}_{i+1}(U \cup V) \xrightarrow{\delta_{i+1}^{\mathcal{F}}} \mathcal{F}_i(U \cap V) \xrightarrow{(\iota_1, \iota_2)} \mathcal{F}_i(U) \oplus \mathcal{F}_i(V) \xrightarrow{j_1 - j_2} \mathcal{F}_i(U \cup V) \xrightarrow{\delta_i^{\mathcal{F}}} \longrightarrow.$$

A collection of morphisms  $f_r : \mathcal{F}_r \rightarrow \mathcal{G}_r$ , for  $r \geq 0$ , is called  $\delta$ -compatible if for each pair of open sets  $U, V \in \tau\mathcal{U}$  the following diagram commutes for all  $i \geq 0$ :

$$(4.2) \quad \begin{array}{ccc} \mathcal{F}_{i+1}(U \cup V) & \xrightarrow{\delta_{i+1}^{\mathcal{F}}(U, V)} & \mathcal{F}_i(U \cap V) \\ \downarrow f_{i+1}(U \cup V) & & \downarrow f_i(U \cap V) \\ \mathcal{G}_{i+1}(U \cup V) & \xrightarrow{\delta_{i+1}^{\mathcal{G}}(U, V)} & \mathcal{G}_i(U \cap V). \end{array}$$

**Proposition 4.4.** Let  $B$  be a compact topological space and let  $\mathcal{U}$  be an open cover of  $B$ . Let  $f_i : \mathcal{F}_i \rightarrow \mathcal{G}_i$  be a sequence of  $\delta$ -compatible morphisms between  $\mathcal{U}$ -locally constant presheaves on  $B$  that satisfy the  $\mathcal{U}$ -Mayer-Vietoris property. If  $f_i(U) : \mathcal{F}_i(U) \rightarrow \mathcal{G}_i(U)$  is an isomorphism for every  $U \in \mathcal{U}$  and for every  $i \geq 0$ , then  $f_i(B) : \mathcal{F}_i(B) \rightarrow \mathcal{G}_i(B)$  is an isomorphism for all  $i \geq 0$ .

*Proof.* We shall prove the following statement by induction on  $n$ : For every  $U \in \tau\mathcal{U}$  which can be written as a union  $U = U_1 \cup \dots \cup U_n$  of  $n$  open sets  $U_j \in \tau\mathcal{U}$ , each of which is a finite intersection of open sets in  $\mathcal{U}$ , the map  $f_i(U) : \mathcal{F}_i(U) \rightarrow \mathcal{G}_i(U)$  is an isomorphism for all  $i \geq 0$ . The base case  $n = 1$  follows from the fact that  $\mathcal{F}_i, \mathcal{G}_i$  are  $\mathcal{U}$ -locally constant together with the assumption on  $f_i(U)$  for  $U \in \mathcal{U}$ . Denote  $U^j = U_1 \cup \dots \cup \hat{U}_j \cup \dots \cup U_n$  and  $V^j = (U_1 \cap U_j) \cup \dots \cup \hat{U}_j \cup \dots \cup (U_n \cap U_j)$ ; then  $U = U^j \cup U_j$  and  $V^j = U^j \cap U_j$ . Since the  $f_i$  are  $\delta$ -compatible, by (4.2) and (4.1) we have



the commutative diagram below, whose rows are the  $\mathcal{U}$ -Mayer-Vietoris sequences associated to the pair  $U^j$  and  $U_j$ :

$$\begin{array}{ccccccc}
\longrightarrow & \mathcal{F}_i(V^j) & \longrightarrow & \mathcal{F}_i(U^j) \oplus \mathcal{F}_i(U_j) & \longrightarrow & \mathcal{F}_i(U) & \xrightarrow{\delta} \mathcal{F}_{i-1}(V^j) \longrightarrow \\
& \downarrow f_i(V^j) & & \downarrow f_i(U^j) \oplus f_i(U_j) & & \downarrow f_i(U) & \downarrow f_{i-1}(V^j) \\
\longrightarrow & \mathcal{G}_i(V^j) & \longrightarrow & \mathcal{G}_i(U^j) \oplus \mathcal{G}_i(U_j) & \longrightarrow & \mathcal{G}_i(U) & \xrightarrow{\delta} \mathcal{G}_{i-1}(V^j) \longrightarrow .
\end{array}$$

Each of  $V^j, U^j$ , and  $U_j$  is a union of less than  $n$  open sets, each of which is a finite intersection of elements of  $\mathcal{U}$ . Thus by induction hypothesis,  $f_i(V^j)$ ,  $f_i(U^j)$  and  $f_i(U_j)$  are isomorphisms for all  $i$ . By the 5-lemma,  $f_i(U)$  is an isomorphism for all  $i$ , which concludes the induction step. Since  $B$  is compact, there is a finite number of open sets in  $\mathcal{U}$  which cover  $B$ . Thus the induction yields the desired result.  $\square$

## 5. EXAMPLES OF PRECOSHEAVES

Throughout this section we consider a topological fiber bundle  $\pi : E \rightarrow B$  with fiber  $L$  and topological structure group  $G$ . We assume that  $B, E$ , and  $L$  are compact oriented topological manifolds such that  $E$  is compatibly oriented with respect to the orientation of  $B$  and  $L$ . Set  $n = \dim E$ ,  $b = \dim B$  and  $c = \dim L = n - b$ . We may form the fiberwise cone of this bundle,  $DE$ , by defining  $DE$  to be the homotopy pushout, Definition 2.1, of the pair of maps

$B \xleftarrow{\pi} E \xrightarrow{\text{id}} E$ . By Remark 2.2, the map  $\pi$  induces a map  $\pi_D : DE \rightarrow B$ , given by  $\text{id}_B$  on  $B$  and  $(x, t) \mapsto \pi(x)$  for  $(x, t) \in E \times I$ . This makes  $DE$  into a fiber bundle whose fiber is  $cL$ , the cone on  $L$ , and whose structure group is  $G$ . We point out, for  $U \subset B$  open, that  $\pi_D^{-1}U \rightarrow U$  is

obtained as the homotopy pushout of the pair of maps  $U \xleftarrow{\pi|_{\pi^{-1}U}} \pi^{-1}U \xrightarrow{\text{id}} \pi^{-1}U$ . One more fact that will be needed is that the pair  $(DE, E)$ , where  $E$  is identified with  $E \times \{1\} \subset DE$ , along with a stratification of  $DE$  given by  $B \subset DE$ , is a compact  $\mathbb{Q}$ -oriented  $\partial$ -stratified topological pseudomanifold, in the sense of Friedman and McClure [21]. Here we have identified  $B$  with the image  $\sigma(B)$  of the “zero section”  $\sigma : B \rightarrow DE$ , sending  $x \in B$  to the cone point of  $cL$  over  $x$ . Similarly for any open  $U \subset B$ , the pair  $(\pi_D^{-1}U, \pi^{-1}U)$  is a  $\mathbb{Q}$ -oriented  $\partial$ -stratified pseudomanifold, though it will not be compact unless  $U$  is compact. We write  $\partial\pi_D^{-1}U = \pi^{-1}U$ .

**Example 5.1.** For each  $r \geq 0$ , there are precosheaves  $\pi_*\mathcal{H}_r$  on  $B$  defined by

$$U \mapsto H_r(\pi^{-1}(U)).$$

By the Eilenberg-Steenrod axioms, these are  $\mathcal{U}$ -locally constant, and satisfy the  $\mathcal{U}$ -Mayer-Vietoris property for any good open cover  $\mathcal{U}$  of  $B$ . (An open cover  $\mathcal{U}$  of a  $b$ -dimensional manifold is *good*, if every nonempty finite intersection of sets in  $\mathcal{U}$  is homeomorphic to  $\mathbb{R}^b$ . Such a cover exists if the manifold is smooth or PL.)

Let  $\pi' : E' \rightarrow B$  be another fiber bundle, and  $f : E \rightarrow E'$  a morphism of fiber bundles. Then  $f$  induces a morphism of precosheaves  $f_* : \pi_*\mathcal{H}_r \rightarrow \pi'_*\mathcal{H}_r$ , given on any open set  $U \subset B$  by

$$f_*(U) := (f|_{\pi^{-1}U})_* : H_r(\pi^{-1}U) \rightarrow H_r(\pi'^{-1}U).$$



Furthermore, for any pair of open sets  $U, V \subset B$ , we have the following commutative diagram whose rows are exact Mayer-Vietoris sequences:

$$(5.1) \quad \begin{array}{ccccc} \longrightarrow & H_r(\pi^{-1}(U \cap V)) & \longrightarrow & H_r(\pi^{-1}U) \oplus H_r(\pi^{-1}V) & \longrightarrow & H_r(\pi^{-1}(U \cup V)) & \xrightarrow{\delta} \longrightarrow \\ & \downarrow f_{r(U \cap V)} & & \downarrow f_r(U) \oplus f_r(V) & & \downarrow f_r(U \cup V) & \\ \longrightarrow & H_r(\pi'^{-1}(U \cap V)) & \longrightarrow & H_r(\pi'^{-1}U) \oplus H_r(\pi'^{-1}V) & \longrightarrow & H_r(\pi'^{-1}(U \cup V)) & \xrightarrow{\delta} \longrightarrow \end{array}$$

Thus, for any good open cover  $\mathcal{U}$ , the map  $f$  induces a  $\delta$ -compatible sequence of morphisms between precosheaves which satisfy the  $\mathcal{U}$ -Mayer-Vietoris property, and are  $\mathcal{U}$ -locally constant.

**Example 5.2.** Define the precosheaf of intersection homology groups,  $\pi_{D*}\mathcal{I}^{\bar{p}}\mathcal{H}_r$  for each  $r \geq 0$ , and each perversity  $\bar{p}$ , by assigning to the open set  $U \subset B$  the vector space,  $I^{\bar{p}}H_r(\pi_D^{-1}U)$ . We use the definition of intersection homology via finite singular chains as in [21]. This is a slightly more general definition than that of King, [28], and Kirwan-Woolf [29]. For our situation the definitions all agree with the exception that the former allows for more general perversities, see the comment after Prop. 2.3 in [21] for more details. In Section 4.6 of Kirwan-Woolf [29] it is shown that each  $\pi_{D*}\mathcal{I}^{\bar{p}}\mathcal{H}_r$  is a precosheaf for each  $r \geq 0$ , and that this sequence satisfies the  $\mathcal{U}$ -Mayer-Vietoris property for any open cover  $\mathcal{U}$  of  $B$ . Furthermore, these are all  $\mathcal{U}$ -locally constant for any good cover  $\mathcal{U}$  of  $B$ .

Let  $f : E \rightarrow E'$  be a bundle morphism with  $\dim E \geq \dim E'$ . Using the levelwise map  $E \times I \rightarrow E' \times I$ ,  $(e, t) \mapsto (f(e), t)$ , and the identity map on  $B$ ,  $f$  induces a bundle morphism  $f_D : DE \rightarrow DE'$ . Recall that a continuous map between stratified spaces is called *stratum-preserving* if the image of every pure stratum of the source is contained in a single pure stratum of the target. A stratum-preserving map  $g$  is called *placid* if  $\text{codim } g^{-1}(S) \geq \text{codim } S$  for every pure stratum  $S$  of the target. Placid maps induce covariantly linear maps on intersection homology (which is not true for arbitrary continuous maps). The map  $f_D$  is indeed stratum-preserving and, since  $\dim E \geq \dim E'$ , placid and thus induces maps

$$(f_D|_{\pi_D^{-1}(U)})_* : I^{\bar{p}}H_r(\pi_D^{-1}U) \longrightarrow I^{\bar{p}}H_r(\pi_D'^{-1}U)$$

for each open set  $U \subset B$ . This way, we obtain a sequence of  $\delta$ -compatible morphisms

$$f_{D*} : \pi_{D*}\mathcal{I}^{\bar{p}}\mathcal{H}_r \rightarrow \pi_{D'*}\mathcal{I}^{\bar{p}}\mathcal{H}_r.$$

With  $I^{\bar{p}}C_*(X)$  the singular rational intersection chain complex as in [21], we define intersection cochains by  $I_{\bar{p}}C^*(X) = \text{Hom}(I^{\bar{p}}C_*(X), \mathbb{Q})$  and define intersection cohomology by  $I_{\bar{p}}H^*(X) = H^*(I_{\bar{p}}C^*(X))$ . Then the universal coefficient theorem

$$I_{\bar{p}}H^*(X) \cong \text{Hom}(I^{\bar{p}}H_*(X), \mathbb{Q})$$

holds. Theorem 7.10 of [21] establishes Poincaré-Lefschetz duality for compact  $\mathbb{Q}$ -oriented  $n$ -dimensional  $\partial$ -stratified pseudomanifolds  $(X, \partial X)$ . Some important facts are established there in the proof:

- (1) For complementary perversities  $\bar{p} + \bar{q} = \bar{t}$ , there is a commutative diagram whose rows are exact:

$$(5.2) \quad \begin{array}{ccccccc} \xrightarrow{j_{\partial}^r} & I_{\bar{p}}H^r(X) & \xrightarrow{i_{\partial}^r} & I_{\bar{p}}H^r(\partial X) & \xrightarrow{\delta_{\partial}^r} & I_{\bar{p}}H^{r+1}(X, \partial X) & \longrightarrow \\ & \cong \downarrow D_X^r & & \cong \downarrow D_{\partial X}^r & & \cong \downarrow D_{n-r-1}^X & \\ \xrightarrow{j_{n-r}^{\partial}} & I_{\bar{q}}H_{n-r}(X, \partial X) & \xrightarrow{\delta_{n-r}^{\partial}} & I_{\bar{q}}H_{n-r-1}(\partial X) & \xrightarrow{i_{n-r-1}^{\partial}} & I_{\bar{q}}H_{n-r-1}(X) & \longrightarrow \end{array}$$

(2) The inclusion  $X \setminus \partial X \rightarrow X$  induces an isomorphism

$$(5.3) \quad I^{\bar{q}}H_{n-r}(X \setminus \partial X) \cong I^{\bar{q}}H_{n-r}(X).$$

Consider the smooth oriented  $c$ -dimensional manifold  $L$ . The closed cone  $cL$  is a compact  $\mathbb{Q}$ -oriented  $(c+1)$ -dimensional  $\partial$ -stratified pseudomanifold. Thus the long exact sequence coming from the bottom row of diagram (5.2) gives

$$(5.4) \quad \longrightarrow I^{\bar{p}}H_{r+1}(cL, L) \xrightarrow{\delta_{r+1}^{\partial}} I^{\bar{p}}H_r(L) \xrightarrow{i_r^{\partial}} I^{\bar{p}}H_r(cL) \xrightarrow{j_r^{\partial}} I^{\bar{p}}H_r(cL, L) \longrightarrow .$$

**Proposition 5.3.** *Let  $\bar{p}$  be a perversity and let  $k = c - \bar{p}(c+1)$ . Then for the maps in the exact sequence (5.4) we have an isomorphism*

$$i_r^{\partial} : H_r(L) \rightarrow I^{\bar{p}}H_r(cL),$$

when  $r < k$ , and an isomorphism

$$\delta_{r+1}^{\partial} : I^{\bar{p}}H_{r+1}(cL, L) \rightarrow H_r(L),$$

when  $r \geq k$ .

*Proof.* The standard cone formula for intersection homology asserts that for a closed  $c$ -dimensional manifold  $L$ , the inclusion  $L \hookrightarrow cL$  as the boundary induces an isomorphism

$$I^{\bar{p}}H_r(L) \cong I^{\bar{p}}H_r(cL) \text{ for } r < c - \bar{p}(c+1),$$

whereas  $I^{\bar{p}}H_r(cL) = 0$  for  $r \geq c - \bar{p}(c+1)$ . (By (5.3) above, this holds both for the closed and the open cone.) This already establishes the first claim. The second one follows from the cone formula together with the exact sequence (5.4).  $\square$

## 6. FIBERWISE TRUNCATION AND COTRUNCATION

Let  $\pi : E \rightarrow B$  be a fiber bundle of closed topological manifolds with fiber  $L$  and structure group  $G$  such that  $B, E$  and  $L$  are compatibly oriented. Suppose that a  $G$ -equivariant Moore approximation  $L_{<k}$  of degree  $k$  exists for the fiber  $L$ . The bundle  $E$  has an underlying principal  $G$ -bundle  $E_P \rightarrow B$  such that  $E = E_P \times_G L$ . Using the  $G$ -action on  $L_{<k}$ , we set

$$\text{ft}_{<k}E = E_P \times_G L_{<k}.$$

Then  $\text{ft}_{<k}E$  is the total space of a fiber bundle  $\pi_{<k} : \text{ft}_{<k}E \rightarrow B$  with fiber  $L_{<k}$ , structure group  $G$  and underlying principal bundle  $E_P$ . The equivariant structure map  $f_{<k} : L_{<k} \rightarrow L$  defines a morphism of bundles

$$F_{<k} : \text{ft}_{<k}E = E_P \times_G L_{<k} \rightarrow E_P \times_G L = E.$$

**Definition 6.1.** The pair  $(\text{ft}_{<k}E, F_{<k})$  is called the *fiberwise  $k$ -truncation* of the bundle  $E$ .

**Definition 6.2.** The *fiberwise  $k$ -cotruncation*  $\text{ft}_{\geq k}E$  is the homotopy pushout of the pair of maps

$$B \xleftarrow{\pi_{<k}} \text{ft}_{<k}E \xrightarrow{F_{<k}} E.$$

Let  $c_{\geq k} : E \rightarrow \text{ft}_{\geq k}E$ , and  $\sigma : B \rightarrow \text{ft}_{\geq k}E$  be the maps  $\xi_2$  and  $\xi_1$ , respectively, appearing in Definition 2.1.

Since  $F_{<k}$  satisfies  $\pi_{<k} = \pi \circ F_{<k}$  we have, by the universal property of Remark 2.2, using the constant homotopy, a unique map  $\pi_{\geq k} : \text{ft}_{\geq k}E \rightarrow B$  satisfying  $\pi = \pi_{\geq k} \circ c_{\geq k}$ ,  $\pi_{\geq k} \circ \sigma = \text{id}_B$  and  $(\pi_{\geq k} \circ \xi_0)(x, t) = \pi_{<k}(x)$  for all  $t \in I$ , where  $\xi_0 : \text{ft}_{<k}E \times I \rightarrow \text{ft}_{\geq k}E$  is induced by the

inclusion (as in Definition 2.1). The map  $\pi_{\geq k} : \text{ft}_{\geq k}E \rightarrow B$  is a fiber bundle projection with fiber the homotopy pushout of

$$\star \longleftarrow L_{<k} \xrightarrow{f_{<k}} L,$$

i.e. the mapping cone of  $f_{<k}$ . Note that this mapping cone is a  $G$ -space in a natural way (with  $\star$  as a fixed point), since  $f_{<k}$  is equivariant. The map  $c_{\geq k} : E \rightarrow \text{ft}_{\geq k}E$  is a morphism of fiber bundles. Furthermore, the bundle  $\pi_{\geq k}$  has a canonical section  $\sigma$ , sending  $x \in B$  to  $\star$  over  $x$ .

**Definition 6.3.** Define the space  $Q_{\geq k}E$  to be the homotopy pushout of the pair of maps

$$\star \longleftarrow B \xrightarrow{\sigma} \text{ft}_{\geq k}E.$$

This is the mapping cone of  $\sigma$  and hence

$$\tilde{H}_*(Q_{\geq k}E) \cong H_*(\text{ft}_{\geq k}E, B),$$

where we identified  $B$  with its image under the embedding  $\sigma$ . Define the maps

$$\xi_{\geq k} : \text{ft}_{\geq k}E \rightarrow Q_{\geq k}E \quad \text{and} \quad [c] : \star \rightarrow Q_{\geq k}E$$

to be the maps  $\xi_2$  and  $\xi_1$ , respectively (Definition 2.1). Set

$$C_{\geq k} = \xi_{\geq k} \circ c_{\geq k} : E \rightarrow Q_{\geq k}E.$$

For each open set  $U \subset B$ , the space  $\pi_{\geq k}^{-1}U$  is the pushout of the pair of maps

$$U \xleftarrow{\pi_{<k}} \pi_{<k}^{-1}U \xrightarrow{F_{<k}} \pi^{-1}U$$

and the restrictions of  $c_{\geq k}$  induce a morphism of fiber bundles  $c_{\geq k}(U) : \pi^{-1}U \rightarrow \pi_{\geq k}^{-1}U$ . Define the precosheaf  $\pi_*^Q \mathcal{H}_r$  by the assignment  $U \mapsto H_r(\pi_{\geq k}^{-1}U, U)$  (again identifying  $U$  with its image under  $\sigma$ ). That this assignment is indeed a precosheaf follows from the functoriality of homology applied to the commutative diagram of inclusions

$$\begin{array}{ccc} (\pi_{\geq k}^{-1}U, U) & \longrightarrow & (\pi_{\geq k}^{-1}V, V) \\ & \searrow & \downarrow \\ & & (\pi_{\geq k}^{-1}W, W) \end{array}$$

associated to nested open sets  $U \subset V \subset W$ . The maps  $C_r^k(U) : H_r(\pi^{-1}U) \rightarrow H_r(\pi_{\geq k}^{-1}U, U)$ , given by the composition

$$H_r(\pi^{-1}U) \xrightarrow{c_{\geq k}(U)^*} H_r(\pi_{\geq k}^{-1}U) \longrightarrow H_r(\pi_{\geq k}^{-1}U, U),$$

define a morphism of precosheaves

$$\mathcal{C}_r^k : \pi_* \mathcal{H}_r \rightarrow \pi_*^Q \mathcal{H}_r$$

for all  $r \geq 0$ . The following lemma justifies the terminology ‘‘cotruncation’’.

**Lemma 6.4.** *For  $U \cong \mathbb{R}^b$ , the map  $C_r^k(U)$  is an isomorphism for  $r \geq k$ , while  $H_r(\pi_{\geq k}^{-1}U, U) = 0$  for  $r < k$ .*

*Proof.* Let  $L_{\geq k}$  denote the mapping cone of  $f_{<k} : L_{<k} \rightarrow L$ . Since the bundles  $\pi$  and  $\pi_{\geq k}$  both (compatibly) trivialize over  $U \cong \mathbb{R}^b$ , the map  $C_r^k(U)$  can be identified with the composition

$$H_r(\mathbb{R}^b \times L) \longrightarrow H_r(\mathbb{R}^b \times L_{\geq k}) \longrightarrow H_r(\mathbb{R}^b \times (L_{\geq k}, \star)),$$

which can further be identified with

$$H_r(L) \longrightarrow \tilde{H}_r(L_{\geq k}).$$

This map fits into a long exact sequence

$$H_r(L_{<k}) \xrightarrow{f_{<k*}} H_r(L) \longrightarrow \tilde{H}_r(L_{\geq k}) \longrightarrow H_{r-1}(L_{<k}).$$

The result then follows from the defining properties of the Moore approximation  $f_{<k}$ .  $\square$

As in Example 5.1, the map  $F_{<k,r} : H_r(\text{ft}_{<k}E) \rightarrow H_r(E)$  is  $\mathcal{F}_{<k,r}(B)$  for the morphism of precosheaves  $\mathcal{F}_{<k,r} : \pi_{<k*}\mathcal{H}_r \rightarrow \pi_*\mathcal{H}_r$  given by  $F_{<k}|_* : H_r(\pi_{<k}^{-1}U) \rightarrow H_r(\pi^{-1}U)$  for each  $r \geq 0$ .

For each open set  $U$  we have the long exact sequence of perversity  $\bar{p}$ -intersection homology groups

$$(6.1) \quad \cdots \longrightarrow I^{\bar{p}}H_{r+1}(\pi_D^{-1}U, \partial\pi_D^{-1}U) \xrightarrow{\delta_{r+1}^{\partial}(U)} H_r(\pi^{-1}U) \xrightarrow{i_r^{\partial}(U)} I^{\bar{p}}H_r(\pi_D^{-1}U) \xrightarrow{j_r^{\partial}(U)} \cdots$$

(Recall that  $\pi_D : DE \rightarrow B$  is the projection of the cone bundle.) When  $U$  varies, this exact sequence forms a precosheaf of acyclic chain complexes. In particular the morphisms  $i_r^{\partial}$  and  $\delta_{r+1}^{\partial}$  are morphisms of precosheaves for every  $r \geq 0$ . From now on, in order to have good open covers, we assume that  $B$  is either smooth or at least PL.

**Proposition 6.5.** *Fix a perversity  $\bar{p}$ . Let  $n - 1 = \dim E$ ,  $b = \dim B$ ,  $c = n - b - 1$ , and  $k = c - \bar{p}(c + 1)$ . Assume that  $B$  is compact and that an equivariant Moore approximation  $f_{<k} : L_{<k} \rightarrow L$  of degree  $k$  exists. Then the compositions*

$$i_r^{\partial}(B) \circ F_{<k*} : H_r(\text{ft}_{<k}E) \rightarrow I^{\bar{p}}H_r(DE)$$

and

$$C_r^k \circ \delta_{r+1}^{\partial}(B) : I^{\bar{p}}H_{r+1}(DE, E) \rightarrow H_r(\text{ft}_{\geq k}E, B) \cong \tilde{H}_r(Q_{\geq k}E)$$

are isomorphisms for all  $r \geq 0$ .

*Proof.* We use our local to global technique. Let  $\mathcal{U}$  be a finite good open cover of  $B$  which trivializes  $E$ . The map  $F_{<k}$  induces (by restrictions to preimages of open subsets) a map of precosheaves as demonstrated in Example 5.1. Both  $i_r^{\partial}$  and  $F_{<k,*}$  are sequences of  $\delta$ -compatible morphisms of  $\mathcal{U}$ -locally constant precosheaves that satisfy the  $\mathcal{U}$ -Mayer-Vietoris property. Let  $U \in \mathcal{U}$ , then  $H_r(\pi_{<k}^{-1}U) \cong H_r(L_{<k})$  and  $\mathcal{F}_{<k,r} = f_{<k*}$  is an isomorphism in degrees  $r < k$  and 0 in degrees  $r \geq k$ . Likewise by Proposition 5.3, the map  $i_r^{\partial}$  induces an isomorphism  $H_r(L) \cong I^{\bar{p}}H_r(\pi_D^{-1}U)$  in degrees  $r < k$  and 0 in degrees  $r \geq k$ , since

$$\pi_D^{-1}U \cong U \times cL \cong \mathbb{R}^b \times cL,$$

$I^{\bar{p}}H_r(\mathbb{R}^b \times cL) \cong I^{\bar{p}}H_r(cL)$ , and we can identify  $i_r^{\partial}(U)$  with  $i_r^{\partial}$  from (5.4). Thus, the composition is an isomorphism in every degree. We can now apply Proposition 4.4 to obtain the desired result.

An analogous argument gives the desired result for the second statement, using Lemma 6.4 in conjunction with Proposition 5.3 to establish the base case.  $\square$

It follows from Proposition 6.5 that  $i_r^{\partial}(B) : H_r(E) \rightarrow I^{\bar{p}}H_r(DE)$  is surjective for all  $r$ ,  $F_{<k*} : H_r(\text{ft}_{<k}E) \rightarrow H_r(E)$  is injective for all  $r$ ,  $C_r^k : H_r(E) \rightarrow H_r(\text{ft}_{\geq k}E, B)$  is surjective for all  $r$ , and  $\delta_{r+1}^{\partial}(B) : I^{\bar{p}}H_{r+1}(DE, E) \rightarrow H_r(E)$  is injective for all  $r$ . We may use the isomorphisms in Proposition 6.5 to identify  $H_r(\text{ft}_{<k}E)$  with  $I^{\bar{p}}H_r(DE)$  and  $\tilde{H}_r(Q_{\geq k}E)$  with  $I^{\bar{p}}H_{r+1}(DE, E)$ . In doing so, we may consider the exact sequence

$$(6.2) \quad \longrightarrow I^{\bar{p}}H_{r+1}(DE, E) \xrightarrow{\delta_{r+1}^{\partial}} H_r(E) \xrightarrow{i_r^{\partial}} I^{\bar{p}}H_r(DE) \xrightarrow{j_r^{\partial}} ,$$

and identify  $F_{<k,r}$  as a section of  $i_r^\partial$ , and  $C_r^k$  as a section of  $\delta_{r+1}^\partial$ . Thus we see that  $j_r^\partial = 0$  for every  $r \geq 0$ , and we have a split short exact sequence

$$(6.3) \quad 0 \longrightarrow I\bar{p}H_{r+1}(DE, E) \begin{array}{c} \xrightarrow{\delta_{r+1}^\partial} \\ \xleftarrow{C_r^k} \end{array} H_r(E) \begin{array}{c} \xrightarrow{i_r^\partial} \\ \xleftarrow{F_{<k,r}} \end{array} I\bar{p}H_r(DE) \longrightarrow 0.$$

**Lemma 6.6.** *The sequence*

$$0 \rightarrow H_r(\text{ft}_{<k}E) \xrightarrow{F_{<k,*}} H_r(E) \xrightarrow{C_{\geq k,*}} \tilde{H}_r(Q_{\geq k}E) \rightarrow 0$$

is exact.

*Proof.* Only exactness in the middle remains to be shown. The standard sequence

$$\text{ft}_{<k}E \xrightarrow{F_{<k}} E \hookrightarrow \text{cone}(F_{<k})$$

induces an exact sequence

$$(6.4) \quad H_r(\text{ft}_{<k}E) \xrightarrow{F_{<k,r}} H_r(E) \longrightarrow \tilde{H}_r(\text{cone}(F_{<k})).$$

Collapsing appropriate cones yields homotopy equivalences

$$\text{cone}(F_{<k}) \xrightarrow{\cong} \text{ft}_{\geq k}E/B \xleftarrow{\cong} Q_{\geq k}E$$

such that the diagram

$$\begin{array}{ccccc} E \hookrightarrow & \text{cone}(F_{<k}) & \xrightarrow{\cong} & \text{ft}_{\geq k}E/B & \\ \downarrow c_{\geq k} & & & \parallel & \\ \text{ft}_{\geq k}E \hookrightarrow & Q_{\geq k}E & \xrightarrow{\cong} & \text{ft}_{\geq k}E/B & \\ & \xi_{\geq k} & & & \end{array}$$

commutes. The induced diagram on homology,

$$\begin{array}{ccccc} H_r(E) & \longrightarrow & \tilde{H}_r(\text{cone}(F_{<k})) & \xrightarrow{\cong} & \tilde{H}_r(\text{ft}_{\geq k}E/B) \\ \downarrow c_{\geq k*} & & & & \parallel \\ H_r(\text{ft}_{\geq k}E) & \xrightarrow{\xi_{\geq k*}} & \tilde{H}_r(Q_{\geq k}E) & \xrightarrow{\cong} & \tilde{H}_r(\text{ft}_{\geq k}E/B), \end{array}$$

shows that the homology kernel of  $E \rightarrow \text{cone}(F_{<k})$  equals the kernel of  $\xi_{\geq k*}c_{\geq k*} = C_{\geq k*}$ , but it also equals the image of  $F_{<k,r}$  by the exactness of (6.4).  $\square$

**Proposition 6.7.** *Let  $n-1 = \dim E$ ,  $b = \dim B$  and  $c = n-b-1$ . For complementary perversities  $\bar{p} + \bar{q} = \bar{t}$ , let  $k = c - \bar{p}(c+1)$  and  $l = c - \bar{q}(c+1)$ . Assume that an equivariant Moore approximation to  $L$  exists of degree  $k$  and of degree  $l$ . Then there is a Poincaré duality isomorphism*

$$D_{k,l} : H^r(\text{ft}_{<k}E) \cong \tilde{H}_{n-r-1}(Q_{\geq l}E).$$

*Proof.* We use the isomorphisms in Proposition 6.5 and the Poincaré-Lefschetz duality of [21], as described here in (5.2), applied to the  $\partial$ -stratified pseudomanifold  $(DE, E)$ . By definition,  $D_{k,l}$  is the unique isomorphism such that

$$\begin{array}{ccc} I\bar{p}H^r(DE) & \xrightarrow[\cong]{F_{<k}^* \circ i^*} & H^r(\text{ft}_{<k}E) \\ \cong \downarrow D_{DE} & & \downarrow D_{k,l} \\ I\bar{q}H_{n-r}(DE, E) & \xrightarrow[\cong]{C_{n-r-1}^l \circ \delta} & \tilde{H}_{n-r-1}(Q_{\geq l}E) \end{array}$$

commutes. □

It need not be true, however, that the diagram

$$(6.5) \quad \begin{array}{ccc} H^r(E) & \xrightarrow{F_{<k}^*} & H^r(\text{ft}_{<k}E) \\ D_E \downarrow \cong & & \cong \downarrow D_{k,l} \\ H_{n-r-1}(E) & \xrightarrow{C_{\geq l}^*} & \tilde{H}_{n-r-1}(Q_{\geq l}E) \end{array}$$

commutes, see Example 6.13 below. It turns out that there is an obstruction to the existence of any isomorphism  $H^r(\text{ft}_{<k}E) \cong \tilde{H}_{n-r-1}(Q_{\geq l}E)$  such that the diagram (6.5) commutes.

**Definition 6.8.** Let  $k, l$  be two integers. Given  $G$ -equivariant Moore approximations

$$f_{<k} : L_{<k} \rightarrow L, \quad f_{<l} : L_{<l} \rightarrow L,$$

the *local duality obstruction* in degree  $i$  is defined to be

$$\mathcal{O}_i(\pi, k, l) = \{C_{\geq k}^*(x) \cup C_{\geq l}^*(y) \mid x \in \tilde{H}^i(Q_{\geq k}E), y \in \tilde{H}^{n-1-i}(Q_{\geq l}E)\} \subset H^{n-1}(E).$$

Locality of this obstruction refers to the fact that in the context of stratified spaces, the obstruction arises only near the singularities of the space. Clearly, the definition of  $\mathcal{O}_i(\pi, k, l)$  does not require any smooth or PL structure on  $B$  and thus is available for topological base manifolds. The obstruction set  $\mathcal{O}_i(\pi, k, l)$  is a cone: If  $z = C_{\geq k}^*(x) \cup C_{\geq l}^*(y)$  is in  $\mathcal{O}_i(\pi, k, l)$  then for any  $\lambda \in \mathbb{Q}$ ,

$$\lambda z = C_{\geq k}^*(\lambda x) \cup C_{\geq l}^*(y) \in \mathcal{O}_i(\pi, k, l).$$

If  $E$  is connected, then  $H^{n-1}(E) \cong \mathbb{Q}$  is one-dimensional, so

$$\text{either } \mathcal{O}_i(\pi, k, l) = 0 \text{ or } \mathcal{O}_i(\pi, k, l) \cong \mathbb{Q}.$$

**Proposition 6.9.** *There exists an isomorphism  $D : H^r(\text{ft}_{<k}E) \cong \tilde{H}_{n-r-1}(Q_{\geq l}E)$  such that*

$$\begin{array}{ccc} H^r(E) & \xrightarrow{F_{<k}^*} & H^r(\text{ft}_{<k}E) \\ D_E \downarrow \cong & & \cong \downarrow D \\ H_{n-r-1}(E) & \xrightarrow{C_{\geq l}^*} & \tilde{H}_{n-r-1}(Q_{\geq l}E) \end{array}$$

*commutes if and only if the local duality obstruction  $\mathcal{O}_r(\pi, k, l)$  vanishes. In this case,  $D$  is uniquely determined by the diagram.*

*Proof.* We have seen that both  $F_{<k}^*$  and  $C_{\geq l}^*$  are surjective and their respective images have equal rank. Thus by linear algebra  $D$  exists if and only if  $D_E(\ker F_{<k}^*) = \ker C_{\geq l}^*$ . By Lemma 6.6,  $\ker F_{<k}^* = \text{im } C_{\geq k}^*$ . Thus the condition translates to: For every  $x \in \tilde{H}^r(Q_{\geq k}E)$ ,  $C_{\geq l}^* D_E C_{\geq k}^*(x) = 0$ . Rewriting this entirely cohomologically using the universal coefficient theorem, this translates further to

$$C_{\geq k}^*(x) \cup C_{\geq l}^*(y) = 0$$

for all  $x, y$ .

The uniqueness of  $D$  is standard: If  $x \in H^r(\text{ft}_{<k}E)$ , then  $D(x) = C_{\geq l}^* D_E(x')$ , where  $x' \in H^r(E)$  is any element with  $F_{<k}^*(x') = x$ . By the condition on the kernels, this is independent of the choice of  $x'$ . □

**Proposition 6.10.** *If  $\mathcal{O}_i(\pi, k, l) = 0$ , then the unique  $D$  given by Proposition 6.9 equals the  $D_{k,l}$  constructed in Proposition 6.7.*

*Proof.* This follows from the diagram

$$\begin{array}{ccccc}
 I_{\bar{p}}H^r(DE) & \xrightarrow{i^*} & H^r(E) & \xrightarrow{F_{<k}^*} & H^r(\text{ft}_{<k}E) \\
 D_{DE} \downarrow \cong & & D_E \downarrow \cong & & \cong \downarrow D \\
 I^{\bar{q}}H_{n-r}(DE, E) & \xrightarrow{\delta} & H_{n-r-1}(E) & \xrightarrow{C_{\geq l}^*} & \tilde{H}_{n-r-1}(Q_{\geq l}E).
 \end{array}$$

The left hand square is part of the commutative ladder (5.2). The right hand square commutes by the construction of  $D$ . Since the horizontal compositions are isomorphisms,  $D = D_{k,l}$ .  $\square$

Although superficially simple, this proposition has rather interesting geometric ramifications: Since  $D_{k,l}$  can *always* be defined, even when the duality obstruction is not zero, the proposition implies that in such a case, diagram (6.5) cannot commute. This means that  $D_{k,l}$  is not always a geometrically “correct” duality isomorphism, and the duality obstructions govern when it is and when it is not.

It was already shown in [3, Section 2.9] that if the link bundle is a global product, then Poincaré duality holds for the corresponding intersection spaces. This suggests that the duality obstruction vanishes for a global product. We shall now verify this directly:

**Proposition 6.11.** *For complementary perversities  $\bar{p} + \bar{q} = \bar{t}$ , let*

$$k = c - \bar{p}(c + 1) \quad \text{and} \quad l = c - \bar{q}(c + 1).$$

*If  $\pi : E = B \times L \rightarrow B$  is a global product, then  $\mathcal{O}_i(\pi, k, l) = 0$  for all  $i$ .*

*Proof.* We have  $\text{ft}_{\geq k}E = B \times L_{\geq k}$  and by the Künneth theorem, the reduced cohomology of  $Q_{\geq k}E$  is given by

$$\begin{aligned}
 \tilde{H}^*(Q_{\geq k}E) &= H^*(\text{ft}_{\geq k}E, B) = H^*(B \times L_{\geq k}, B \times \star) = H^*(B \times (L_{\geq k}, \star)) \\
 &\cong H^*(B) \otimes H^*(L_{\geq k}, \star).
 \end{aligned}$$

Let  $f_{\geq k} : L \rightarrow L_{\geq k}$  be the structural map associated to the cotruncation. By the naturality of the cross product, the square

$$\begin{array}{ccc}
 H^*(E) & \xleftarrow[\cong]{\times} & H^*(B) \otimes H^*(L) \\
 C_{\geq k}^* \uparrow & & \uparrow \text{id} \otimes f_{\geq k}^* \\
 \tilde{H}^*(Q_{\geq k}E) & \xleftarrow[\cong]{\times} & H^*(B) \otimes H^*(L_{\geq k}, \star)
 \end{array}$$

commutes. Let  $x \in \tilde{H}^i(Q_{\geq k}E)$ ,  $y \in \tilde{H}^{n-1-i}(Q_{\geq l}E)$ . Their images under the Eilenberg-Zilber map are of the form

$$\text{EZ}(x) = \sum_r b_r \otimes e_r^{\geq k}, \quad b_r \in H^*(B), \quad e_r^{\geq k} \in H^*(L_{\geq k}, \star),$$

$$\text{EZ}(y) = \sum_s b'_s \otimes e_s^{\geq l}, \quad b'_s \in H^*(B), \quad e_s^{\geq l} \in H^*(L_{\geq l}, \star),$$

$\deg b_r + \deg e_r^{\geq k} = i$ ,  $\deg b'_s + \deg e_s^{\geq l} = n - 1 - i$ . Thus

$$(\text{id} \otimes f_{\geq k}^*) \text{EZ}(x) \cup (\text{id} \otimes f_{\geq l}^*) \text{EZ}(y) = \left( \sum_r b_r \otimes f_{\geq k}^*(e_r^{\geq k}) \right) \cup \left( \sum_s b'_s \otimes f_{\geq l}^*(e_s^{\geq l}) \right)$$



and

$$\begin{aligned} C_{\geq k}^*(x) \cup C_{\geq l}^*(y) &= \times \circ (\text{id} \otimes f_{\geq k}^*) \text{EZ}(x) \cup \times \circ (\text{id} \otimes f_{\geq l}^*) \text{EZ}(y) \\ &= \left( \sum_r b_r \times f_{\geq k}^*(e_r^{\geq k}) \right) \cup \left( \sum_s b'_s \times f_{\geq l}^*(e_s^{\geq l}) \right) \\ &= \sum_{r,s} \pm (b_r \cup b'_s) \times (f_{\geq k}^*(e_r^{\geq k}) \cup f_{\geq l}^*(e_s^{\geq l})). \end{aligned}$$

If  $\deg f_{\geq k}^*(e_r^{\geq k}) + \deg f_{\geq l}^*(e_s^{\geq l}) < \dim L$ , then  $\deg b_r + \deg b'_s > \dim B$  and thus  $b_r \cup b'_s = 0$ . If  $\deg f_{\geq k}^*(e_r^{\geq k}) + \deg f_{\geq l}^*(e_s^{\geq l}) > \dim L$ , then trivially  $f_{\geq k}^*(e_r^{\geq k}) \cup f_{\geq l}^*(e_s^{\geq l}) = 0$ . Finally, if  $\deg f_{\geq k}^*(e_r^{\geq k}) + \deg f_{\geq l}^*(e_s^{\geq l}) = \dim L$ , then  $f_{\geq k}^*(e_r^{\geq k}) \cup f_{\geq l}^*(e_s^{\geq l}) = 0$  by the defining properties of cotruncation and the fact that  $k$  and  $l$  are complementary. This shows that

$$C_{\geq k}^*(x) \cup C_{\geq l}^*(y) = 0.$$

□

This result means that, as for other characteristic classes, the duality obstructions of a bundle are a measure of how twisted a bundle is. An important special case is  $\bar{p}(c+1) = \bar{q}(c+1)$ . Then  $k = l$ ,  $Q_{\geq k}E = Q_{\geq l}E$ , and for  $x \in \tilde{H}^i(Q_{\geq k}E)$ ,  $y \in \tilde{H}^{n-1-i}(Q_{\geq k}E)$ ,

$$C_{\geq k}^*(x) \cup C_{\geq l}^*(y) = C_{\geq k}^*(x \cup y).$$

By the injectivity of  $C_{\geq k}^*$ , this product vanishes if and only if  $x \cup y = 0$ . So in the case  $k = l$  the local duality obstruction  $\mathcal{O}_*(\pi, k, k)$  vanishes if and only complementary cup products in  $\tilde{H}^*(Q_{\geq k}E)$  vanish. For a global product this is indeed always the case, by Proposition 6.11.

**Example 6.12.** Let  $B = S^2$ ,  $L = S^3$  and  $E = B \times L = S^2 \times S^3$ . Then  $c = 3$  and, taking  $\bar{p}$  and  $\bar{q}$  to be lower and upper middle perversities,

$$k = 3 - \bar{m}(4) = 2 = 3 - \bar{n}(4) = l.$$

The degree 2 Moore approximation is  $L_{<2} = \text{pt}$  and the cotruncation is  $L_{\geq 2} \simeq S^3 = L$ . Thus

$$\text{ft}_{\geq 2} E = B \times L_{\geq 2} \simeq S^2 \times S^3 = E.$$

The reduced cohomology  $\tilde{H}^i(Q_{\geq 2}E) = H^i(S^2 \times (S^3, \text{pt}))$  is isomorphic to  $\mathbb{Q}$  for  $i = 3, 5$  and zero for all other  $i$ . Thus all (and in particular, the complementary) cup products vanish and so the local duality obstruction  $\mathcal{O}_*(\pi, 2, 2)$  vanishes.

Here is an example of a fiber bundle whose duality obstruction does not vanish.

**Example 6.13.** Let  $Dh$  be the disc bundle associated to the Hopf bundle  $h : S^3 \rightarrow S^2$ , i.e.  $Dh$  is the normal disc bundle of  $\mathbb{C}P^1$  in  $\mathbb{C}P^2$ . Now take two copies  $Dh_+ \rightarrow S^2_+$  and  $Dh_- \rightarrow S^2_-$  of this disc bundle and define  $E$  as the double

$$E = Dh_+ \cup_{S^3} Dh_-.$$

Then  $E$  is the fiberwise suspension of  $h$  and so an  $L = S^2$ -bundle over  $B = S^2$ , with  $L$  the suspension of a circle. Let  $\sigma_+, \sigma_- \in L$  be the two suspension points. The bundle  $E$  is the sphere bundle of a real 3-plane vector bundle  $\xi$  over  $S^2$  with  $\xi = \eta \oplus \underline{\mathbb{R}}^1$ , where  $\eta$  is the real 2-plane bundle whose circle bundle is the Hopf bundle and  $\underline{\mathbb{R}}^1$  is the trivial line bundle. The points  $\sigma_{\pm}$  are fixed points under the action of the structure group on  $L$ . Let  $\bar{p}$  be the lower, and  $\bar{q}$  the upper middle perversity. Here  $n = 5$ ,  $b = 2$  and  $c = 2$ . Therefore,  $k = 2$  and  $l = 1$ . Both structural sequences

$$L_{<1} \xrightarrow{f_{<1}} L \xrightarrow{f_{\geq 1}} L_{\geq 1}$$

and

$$L_{<2} \xrightarrow{f_{<2}} L \xrightarrow{f_{\geq 2}} L_{\geq 2}$$

are given by

$$\{\sigma_+\} \hookrightarrow S^2 \xrightarrow{\text{id}} S^2.$$

The identity map is of course equivariant, but the inclusion of the suspension point is equivariant as well, since this is a fixed point. It follows that the fiberwise (co)truncations

$$\text{ft}_{<1} E \longrightarrow E \longrightarrow \text{ft}_{\geq 1} E$$

and

$$\text{ft}_{<2} E \longrightarrow E \longrightarrow \text{ft}_{\geq 2} E$$

are both given by

$$S_+^2 \xrightarrow{s_+} E \xrightarrow{\text{id}} E,$$

where  $s_+$  is the section of  $\pi : E \rightarrow S^2$  given by sending a point to the suspension point  $\sigma_+$  over it. Furthermore,

$$Q_{\geq 1} E = Q_{\geq 2} E = E \cup_{S_+^2} D^3,$$

which is homotopy equivalent to complex projective space  $\mathbb{C}\mathbb{P}^2$ . Indeed, a homotopy equivalence is given by the quotient map

$$Q_{\geq 1} E \xrightarrow{\cong} \frac{Q_{\geq 1} E}{D^3} \cong \frac{E}{S_+^2} \cong \frac{Dh_+ \cup_{S^3} Dh_-}{S_+^2} \cong D^4 \cup_{S^3} Dh_- = \mathbb{C}\mathbb{P}^2.$$

The cohomology ring of  $\mathbb{C}\mathbb{P}^2$  is the truncated polynomial ring  $\mathbb{Q}[x]/(x^3 = 0)$  generated by

$$x \in H^2(\mathbb{C}\mathbb{P}^2) \cong \tilde{H}^2(Q_{\geq 2} E) \cong \tilde{H}^{n-1-2}(Q_{\geq 1} E).$$

The square  $x^2$  generates  $H^4(\mathbb{C}\mathbb{P}^2)$ , so by the injectivity of  $C_{\geq 1}^* = C_{\geq 2}^*$ ,

$$C_{\geq 1}^*(x) \cup C_{\geq 2}^*(x) = C_{\geq 1}^*(x^2) \in H^4(E)$$

is not zero. Thus the duality obstruction  $\mathcal{O}_2(\pi, 2, 1)$  does not vanish.

It follows from Proposition 6.11 that  $\pi : E \rightarrow S^2$  is in fact a nontrivial bundle, which can here of course also be seen directly. Note that the Serre spectral sequence of any  $S^2$ -bundle over  $S^2$  collapses at  $E_2$ . Thus the obstructions  $\mathcal{O}_*(\pi, k, l)$  are able to detect twisting that is not detected by the differentials of the Serre spectral sequence.

## 7. FLAT BUNDLES

We have shown that the local duality obstructions vanish for product bundles. We prove here that they also vanish for flat bundles, at least when the fundamental group of the base is finite. The latter assumption can probably be relaxed, but we shall not pursue this further here. A fiber bundle  $\pi : E \rightarrow B$  with structure group  $G$  is *flat* if its  $G$ -valued transition functions are locally constant.

**Theorem 7.1.** *Let  $\pi : E \rightarrow B$  be a fiber bundle of topological manifolds with structure group  $G$ , compact connected base  $B$  and compact fiber  $L$ ,  $\dim E = n - 1$ ,  $b = \dim B$ ,  $c = n - b - 1$ . For complementary perversities  $\bar{p}, \bar{q}$ , let  $k = c - \bar{p}(c + 1)$ ,  $l = c - \bar{q}(c + 1)$ . If*

- (1)  $L$  possesses  $G$ -equivariant Moore approximations of degree  $k$  and of degree  $l$ ,
- (2)  $\pi$  is flat with respect to  $G$ , and
- (3) the fundamental group  $\pi_1(B)$  of the base is finite,

then  $\mathcal{O}_i(\pi, k, l) = 0$  for all  $i$ .

*Proof.* Let  $\tilde{B}$  be the (compact) universal cover of  $B$  and  $\pi_1 = \pi_1(B)$  the fundamental group. By the  $G$ -flatness of  $E$ , there exists a monodromy representation  $\pi_1 \rightarrow G$  such that

$$E = (\tilde{B} \times L)/\pi_1,$$

where  $\tilde{B} \times L$  is equipped with the diagonal action of  $\pi_1$ , which is free. If  $M$  is any compact space on which a finite group  $\pi_1$  acts freely, then transfer arguments (using the finiteness of  $\pi_1$ ) show that the orbit projection  $\rho : M \rightarrow M/\pi_1$  induces an isomorphism on rational cohomology,

$$\rho^* : H^*(M/\pi_1) \xrightarrow{\cong} H^*(M)^{\pi_1},$$

where  $H^*(M)^{\pi_1}$  denotes the  $\pi_1$ -invariant cohomology classes. Applying this to  $M = \tilde{B} \times L$ , we get an isomorphism

$$\rho^* : H^*(E) \xrightarrow{\cong} H^*(\tilde{B} \times L)^{\pi_1}.$$

Using the monodromy representation, the  $G$ -cotruncation  $L_{\geq k}$  becomes a  $\pi_1$ -space with

$$\text{ft}_{\geq k} E = (\tilde{B} \times L_{\geq k})/\pi_1.$$

The closed subspace  $\tilde{B} \times \star \subset \tilde{B} \times L_{\geq k}$ , where  $\star \in L_{\geq k}$  is the cone point, is  $\pi_1$ -invariant, since  $\star$  is a fixed point of  $L_{\geq k}$ . Then a relative transfer argument applied to the pair  $(\tilde{B} \times L_{\geq k}, \tilde{B} \times \star)$  yields an isomorphism

$$\rho^* : \tilde{H}^*(Q_{\geq k} E) = H^*(\text{ft}_{\geq k} E, B) \xrightarrow{\cong} H^*(\tilde{B} \times L_{\geq k}, \tilde{B} \times \star)^{\pi_1}.$$

Using the structural map  $f_{\geq k} : L \rightarrow L_{\geq k}$ , we define a map

$$p_{\geq k} = \text{id} \times f_{\geq k} : \tilde{B} \times L \rightarrow \tilde{B} \times L_{\geq k}.$$

Since  $f_{\geq k}$  is equivariant, the map  $p_{\geq k}$  is  $\pi_1$ -equivariant with respect to the diagonal action. The diagram

$$\begin{array}{ccc} \tilde{B} \times L & \xrightarrow{\rho} & E \\ p_{\geq k} \downarrow & & \downarrow c_{\geq k} \\ \tilde{B} \times L_{\geq k} & \xrightarrow{\rho} & \text{ft}_{\geq k} E \end{array}$$

commutes and induces on cohomology the commutative diagram

$$(7.1) \quad \begin{array}{ccc} H^*(E) & \xrightarrow[\cong]{\rho^*} & H^*(\tilde{B} \times L)^{\pi_1} \\ c_{\geq k}^* \uparrow & & \uparrow p_{\geq k}^* \\ H^*(\text{ft}_{\geq k} E) & \xrightarrow[\cong]{\rho^*} & H^*(\tilde{B} \times L_{\geq k})^{\pi_1} \end{array}$$

as we shall now verify: If  $a \in H^*(\tilde{B} \times L_{\geq k})$  satisfies  $g^*(a) = a$  for all  $g \in \pi_1$ , then the equivariance of  $p_{\geq k}$  implies that

$$g^* p_{\geq k}^*(a) = p_{\geq k}^*(g^* a) = p_{\geq k}^*(a),$$

which shows that indeed  $p_{\geq k}^*(a) \in H^*(\tilde{B} \times L)^{\pi_1}$ . Similarly, there is a commutative diagram

$$(7.2) \quad \begin{array}{ccc} H^*(\text{ft}_{\geq k} E) & \xrightarrow[\cong]{\rho^*} & H^*(\tilde{B} \times L_{\geq k})^{\pi_1} \\ \xi_{\geq k}^* \uparrow & & \uparrow \\ \tilde{H}^*(Q_{\geq k} E) & \xrightarrow[\cong]{\rho^*} & H^*(\tilde{B} \times (L_{\geq k}, \star))^{\pi_1}. \end{array}$$

Concatenating diagrams (7.1) and (7.2), we obtain the commutative diagram

$$\begin{array}{ccc} H^*(E) & \xrightarrow[\cong]{\rho^*} & H^*(\tilde{B} \times L)^{\pi_1} \\ C_{\geq k}^* \uparrow & & \uparrow P_{\geq k}^* \\ \tilde{H}^*(Q_{\geq k}E) & \xrightarrow[\cong]{\rho^*} & H^*(\tilde{B} \times (L_{\geq k}, \star))^{\pi_1}. \end{array}$$

By the Künneth theorem, the cross product  $\times$  is an isomorphism

$$\times : H^*(\tilde{B}) \otimes H^*(L) \xrightarrow{\cong} H^*(\tilde{B} \times L)$$

whose inverse is given by the Eilenberg-Zilber map EZ. Define a  $\pi_1$ -action on the tensor product  $H^*(\tilde{B}) \otimes H^*(L)$  by

$$g^*(a) := (\text{EZ} \circ g^* \circ \times)(a), \quad g \in \pi_1.$$

This makes the cross-product  $\pi_1$ -equivariant:

$$\times \circ g^*(a) = \times \circ \text{EZ} \circ g^* \circ \times(a) = g^* \circ \times(a).$$

Therefore, the cross-product restricts to a map

$$(7.3) \quad \times : (H^*\tilde{B} \otimes H^*L)^{\pi_1} \longrightarrow H^*(\tilde{B} \times L)^{\pi_1}.$$

The Eilenberg-Zilber map is equivariant as well, since

$$g^* \text{EZ}(b) = \text{EZ} \circ g^* \circ \times \circ \text{EZ}(b) = \text{EZ} \circ g^*(b).$$

Consequently, the Eilenberg-Zilber map restricts to a map

$$(7.4) \quad \text{EZ} : H^*(\tilde{B} \times L)^{\pi_1} \longrightarrow (H^*\tilde{B} \otimes H^*L)^{\pi_1}.$$

Since  $\times$  and EZ are inverse to each other, this shows in particular that the restricted cross-product (7.3) and the restricted Eilenberg-Zilber map (7.4) are isomorphisms. All of these constructions apply just as well to  $(L_{\geq k}, \star)$  instead of  $L$ . By the naturality of the cross product, the square

$$\begin{array}{ccc} H^*(\tilde{B} \times L) & \xleftarrow[\cong]{\times} & H^*\tilde{B} \otimes H^*L \\ P_{\geq k}^* \uparrow & & \uparrow \text{id} \otimes f_{\geq k}^* \\ H^*(\tilde{B} \times (L_{\geq k}, \star)) & \xleftarrow[\cong]{\times} & H^*\tilde{B} \otimes H^*(L_{\geq k}, \star) \end{array}$$

commutes. As we have seen, this diagram restricts to the various  $\pi_1$ -invariant subspaces. In summary then, we have constructed a commutative diagram

$$\begin{array}{ccccc} H^*(E) & \xrightarrow[\cong]{\rho^*} & H^*(\tilde{B} \times L)^{\pi_1} & \xleftarrow[\cong]{\times} & (H^*\tilde{B} \otimes H^*L)^{\pi_1} \\ C_{\geq k}^* \uparrow & & \uparrow P_{\geq k}^* & & \uparrow \text{id} \otimes f_{\geq k}^* \\ \tilde{H}^*(Q_{\geq k}E) & \xrightarrow[\cong]{\rho^*} & H^*(\tilde{B} \times (L_{\geq k}, \star))^{\pi_1} & \xleftarrow[\cong]{\times} & (H^*\tilde{B} \otimes H^*(L_{\geq k}, \star))^{\pi_1} \end{array}$$

An analogous diagram is, of course, available for  $Q_{\geq l}E$ .

Let  $x \in H^i(\tilde{B} \times (L_{\geq k}, \star))^{\pi_1}$ ,  $y \in H^{n-1-i}(\tilde{B} \times (L_{\geq l}, \star))^{\pi_1}$ . Their images under the Eilenberg-Zilber map are of the form

$$\text{EZ}(x) = \sum_r b_r \otimes e_r^{\geq k}, \quad b_r \in H^*(\tilde{B}), \quad e_r^{\geq k} \in H^*(L_{\geq k}, \star),$$

$$\text{EZ}(y) = \sum_s b'_s \otimes e_s^{\geq l}, \quad b'_s \in H^*(\tilde{B}), \quad e_s^{\geq l} \in H^*(L_{\geq l}, \star),$$

$\deg b_r + \deg e_r^{\geq k} = i$ ,  $\deg b'_s + \deg e_s^{\geq l} = n - 1 - i$ . Thus

$$(\text{id} \otimes f_{\geq k}^*) \text{EZ}(x) \cup (\text{id} \otimes f_{\geq l}^*) \text{EZ}(y) = \left( \sum_r b_r \otimes f_{\geq k}^*(e_r^{\geq k}) \right) \cup \left( \sum_s b'_s \otimes f_{\geq l}^*(e_s^{\geq l}) \right)$$

and

$$\begin{aligned} P_{\geq k}^*(x) \cup P_{\geq l}^*(y) &= \times \circ (\text{id} \otimes f_{\geq k}^*) \text{EZ}(x) \cup \times \circ (\text{id} \otimes f_{\geq l}^*) \text{EZ}(y) \\ &= \left( \sum_r b_r \times f_{\geq k}^*(e_r^{\geq k}) \right) \cup \left( \sum_s b'_s \times f_{\geq l}^*(e_s^{\geq l}) \right) \\ &= \sum_{r,s} \pm (b_r \cup b'_s) \times (f_{\geq k}^*(e_r^{\geq k}) \cup f_{\geq l}^*(e_s^{\geq l})). \end{aligned}$$

If  $\deg f_{\geq k}^*(e_r^{\geq k}) + \deg f_{\geq l}^*(e_s^{\geq l}) < \dim L$ , then  $\deg b_r + \deg b'_s > \dim B$  and thus  $b_r \cup b'_s = 0$ . If  $\deg f_{\geq k}^*(e_r^{\geq k}) + \deg f_{\geq l}^*(e_s^{\geq l}) > \dim L$ , then trivially  $f_{\geq k}^*(e_r^{\geq k}) \cup f_{\geq l}^*(e_s^{\geq l}) = 0$ . Finally, if  $\deg f_{\geq k}^*(e_r^{\geq k}) + \deg f_{\geq l}^*(e_s^{\geq l}) = \dim L$ , then  $f_{\geq k}^*(e_r^{\geq k}) \cup f_{\geq l}^*(e_s^{\geq l}) = 0$  by the defining properties of cotruncation and the fact that  $k$  and  $l$  are complementary. This shows that

$$P_{\geq k}^*(x) \cup P_{\geq l}^*(y) = 0.$$

For  $\xi \in \tilde{H}^i(Q_{\geq k}E)$ ,  $\eta \in \tilde{H}^{n-1-i}(Q_{\geq l}E)$ , we find

$$\rho^*(C_{\geq k}^*(\xi) \cup C_{\geq l}^*(\eta)) = \rho^*C_{\geq k}^*(\xi) \cup \rho^*C_{\geq l}^*(\eta) = P_{\geq k}^*(\rho^*\xi) \cup P_{\geq l}^*(\rho^*\eta) = 0.$$

As  $\rho^*$  is an isomorphism,

$$C_{\geq k}^*(\xi) \cup C_{\geq l}^*(\eta) = 0.$$

□

## 8. THOM-MATHER STRATIFIED SPACES

In the present paper, intersection spaces will be constructed for closed topological pseudomanifolds that possess a topological stratification of depth 1 such that every connected component of every singular stratum has a closed neighborhood whose boundary is the total space of a fiber bundle, the *link bundle*, while the neighborhood itself is described by the corresponding cone bundle. A large and well-studied class of stratified spaces that have such link bundle structures are the Thom-Mather stratified spaces, which we shall briefly review with particular emphasis on depth 1. Such spaces are locally compact, second countable Hausdorff spaces  $X$  together with a Thom-Mather  $C^\infty$ -stratification, [30]. We are concerned with *two-strata pseudomanifolds*, which, in more detail, are understood to be pairs  $(X, \Sigma)$ , where  $\Sigma \subset X$  is a closed subspace and a connected smooth manifold, and  $X \setminus \Sigma$  is a smooth manifold which is dense in  $X$ . The singular stratum  $\Sigma$  must have codimension at least 2 in  $X$ . Furthermore,  $\Sigma$  possesses control data consisting of an open neighborhood  $T \subset X$  of  $\Sigma$ , a continuous retraction  $\pi : T \rightarrow \Sigma$ , and a continuous distance function  $\rho : T \rightarrow [0, \infty)$  such that  $\rho^{-1}(0) = \Sigma$ . The restriction of  $\pi$  and  $\rho$  to  $T \setminus \Sigma$  are required to be smooth and  $(\pi, \rho) : T \setminus \Sigma \rightarrow \Sigma \times (0, \infty)$  is required to be a submersion. (Mather's axioms do *not* require  $(\pi, \rho)$  to be proper.) Without appealing to the method of controlled vector fields required by Thom and Mather for general stratified spaces, we shall prove directly that for two-strata spaces, the bottom stratum  $\Sigma$  possesses a locally trivial link bundle whose projection is induced by  $\pi$ .

**Lemma 8.1.** *Let  $f : M \rightarrow N$  be a smooth submersion between smooth manifolds and let  $Q \subset N$  be a smooth submanifold. Then  $P = f^{-1}(Q) \subset M$  is a smooth submanifold and  $f|_P : P \rightarrow Q$  is a submersion.*

*Proof.* A submersion is transverse to any submanifold. Thus,  $f$  is transverse to  $Q$  and  $P = f^{-1}(Q)$  is a smooth submanifold of  $M$ . The differential  $f_* : T_x M \rightarrow T_{f(x)} N$  at any point  $x \in P$  maps  $T_x P$  into  $T_{f(x)} Q$  and thus induces a map  $TM/TP \rightarrow TN/TQ$  of normal bundles. This map is a bundle isomorphism (cf. [13, Satz (5.12)]). An application of the four-lemma to the commutative diagram with exact rows

$$\begin{array}{ccccccccc} 0 & \longrightarrow & T_x P & \longrightarrow & T_x M & \longrightarrow & T_x M/T_x P & \longrightarrow & 0 \\ & & \downarrow f|_* & & \downarrow f_* & & \downarrow \cong & & \\ 0 & \longrightarrow & T_{f(x)} Q & \longrightarrow & T_{f(x)} N & \longrightarrow & T_{f(x)} N/T_{f(x)} Q & \longrightarrow & 0 \end{array}$$

shows that  $f|_* : T_x P \rightarrow T_{f(x)} Q$  is surjective for every  $x \in P$ .  $\square$

**Proposition 8.2.** *Let  $(X, \Sigma)$  be a Thom-Mather  $C^\infty$ -stratified pseudomanifold with two strata and control data  $(T, \pi, \rho)$ . Then there exists a smooth function  $\epsilon : \Sigma \rightarrow (0, \infty)$  such that the restriction  $\pi : E \rightarrow \Sigma$  to*

$$E = \{x \in T \mid \rho(x) = \epsilon(\pi(x))\}$$

*is a smooth locally trivial fiber bundle with structure group  $G = \text{Diff}(L)$ , the diffeomorphisms of  $L = \pi^{-1}(s) \cap E$ , where  $s \in \Sigma$ .*

*Proof.* If  $\epsilon : \Sigma \rightarrow (0, \infty)$  is any function, we write

$$T_\epsilon = \{x \in T \mid \rho(x) < \epsilon(\pi(x))\}$$

and

$$\Sigma \times [0, \epsilon) = \{(s, t) \in \Sigma \times [0, \infty) \mid 0 \leq t < \epsilon(s)\}.$$

By [33, Lemma 3.1.2(2)], there exists a smooth  $\epsilon$  such that  $(\pi, \rho) : T_\epsilon \rightarrow \Sigma \times [0, \epsilon)$  is proper and surjective (and still a submersion on  $T_\epsilon \setminus \Sigma$  because  $T_\epsilon \setminus \Sigma$  is open in  $T \setminus \Sigma$ ). (This involves only arguments of a point-set topological nature, but no controlled vector fields. Pflaum's lemma provides only for a continuous  $\epsilon$ , but it is clear that on a smooth  $\Sigma$ , one may take  $\epsilon$  to be smooth.) Setting

$$E = \{x \in T \mid \rho(x) = \frac{1}{2}\epsilon(\pi(x))\} \subset T_\epsilon \setminus \Sigma,$$

we claim first that  $\pi : E \rightarrow \Sigma$  is proper. Let  $\text{Gr} \subset \Sigma \times [0, \infty)$  be the graph of  $\frac{1}{2}\epsilon$ . The continuity of  $\epsilon$  implies that  $\text{Gr}$  is closed in  $\Sigma \times [0, \infty)$  and the smoothness of  $\epsilon$  implies that  $\text{Gr}$  is a smooth submanifold. From the description  $E = (\pi, \rho)^{-1}(\text{Gr})$  we deduce that  $E$  is closed in  $T_\epsilon$ . The inclusion of a closed subspace is a proper map, and the composition of proper maps is again proper. Hence the restriction of a proper map to a closed subspace is proper. It follows that  $(\pi, \rho) : E \rightarrow \Sigma \times [0, \infty)$  is proper and then that  $(\pi, \rho) : E \rightarrow \text{Gr}$  is proper. The first factor projection  $\pi_1 : \Sigma \times [0, \infty) \rightarrow \Sigma$  restricts to a diffeomorphism  $\pi_1 : \text{Gr} \rightarrow \Sigma$ , which is in particular a proper map. The commutative diagram

$$(8.1) \quad \begin{array}{ccc} E & \xrightarrow{(\pi, \rho)} & \text{Gr} \\ & \searrow \pi & \downarrow \cong \pi_1 \\ & & \Sigma \end{array}$$

shows that  $\pi : E \rightarrow \Sigma$  is proper.

We prove next that  $\pi : E \rightarrow \Sigma$  is surjective: Given  $s \in \Sigma$ , the surjectivity of

$$(\pi, \rho) : T_\epsilon \rightarrow \Sigma \times [0, \epsilon)$$

implies that there is a point  $x \in T_\epsilon$  such that  $(\pi(x), \rho(x)) = (s, \frac{1}{2}\epsilon(s))$ , that is,  $\rho(x) = \frac{1}{2}\epsilon(\pi(x))$ . This means that  $x \in E$  and  $\pi(x) = s$ .

By Lemma 8.1, applied to the smooth map  $(\pi, \rho) : T \setminus \Sigma \rightarrow \Sigma \times (0, \infty)$  and  $Q = \text{Gr}$ ,  $E = (\pi, \rho)^{-1}(\text{Gr})$  is a smooth submanifold and  $(\pi, \rho) : E \rightarrow \text{Gr}$  is a submersion. Using the diagram (8.1),  $\pi : E \rightarrow \Sigma$  is a submersion.

Applying Ehresmann's fibration theorem (for a modern exposition see [20]) to the proper, surjective, smooth submersion  $\pi : E \rightarrow \Sigma$  yields the desired conclusion.  $\square$

We call the bundle given by Proposition 8.2 the *link bundle* of  $\Sigma$  in  $X$ . The fiber is the *link* of  $\Sigma$ . In this manner,  $\Sigma$  becomes the base space  $B$  of a bundle and thus we will also use the notation  $\Sigma = B$ . More generally, this construction evidently applies to the following class of spaces:

**Definition 8.3.** A *stratified pseudomanifold of depth 1* is a tuple  $(X, \Sigma_1, \dots, \Sigma_r)$  such that the  $\Sigma_i$  are mutually disjoint subspaces of  $X$  such that  $(X \setminus (\bigcup_{j \neq i} \Sigma_j), \Sigma_i)$  is a two strata pseudomanifold for every  $i = 1, \dots, r$ .

In a depth 1 space, every  $\Sigma_i$  possesses its own link bundle.

**Definition 8.4.** A stratified pseudomanifold of depth 1,  $(X, \Sigma_1, \dots, \Sigma_r)$ , is a *Witt space* if the top stratum  $X \setminus \bigcup \Sigma_i$  is oriented and the following condition is satisfied:

- For each  $1 \leq i \leq r$  such that  $\Sigma_i$  has odd codimension  $c_i$  in  $X$ , the middle dimensional homology of the link  $L_i$  vanishes:

$$H_{\frac{c_i-1}{2}}(L_i) = 0.$$

Witt spaces were introduced by P. Siegel in [35]. He assumed them to be endowed with a piecewise linear structure, as PL methods allowed him to compute the bordism groups of Witt spaces. We do not use these computations in the present paper.

## 9. INTERSECTION SPACES AND POINCARÉ DUALITY

Let  $(X, B)$  be an  $n$ -dimensional two strata topological pseudomanifold such that  $B \neq \emptyset$  is a  $b$ -dimensional manifold that has a good open cover, e.g.  $B$  PL or even smooth. We assume furthermore that  $B$  has a link bundle  $\pi : E \rightarrow X$  in  $X$  so that a tubular neighborhood of  $B$  is the associated cone bundle and the complement of the open tube is a manifold  $M$  with boundary  $\partial M = E$ . This is the case if  $(X, B)$  is a Thom-Mather  $C^\infty$ -stratification: The Thom-Mather control data provide a tubular neighborhood  $T$  of  $B$  in  $X$  and a distance function  $\rho : T \rightarrow [0, \infty)$ . Let  $\epsilon : \Sigma = B \rightarrow (0, \infty)$  be the smooth function provided by Proposition 8.2 such that  $\pi : E \rightarrow B$  is a fiber bundle, where  $E = \{x \in T \mid \rho(x) = \epsilon(\pi(x))\}$ . Let  $M$  be the complement in  $X$  of  $T_\epsilon = \{x \in T \mid \rho(x) < \epsilon(\pi(x))\}$  and let  $L$  be the fiber of  $\pi : E \rightarrow B$ . By the surjectivity of  $\pi$ ,  $L$  is not empty. The space  $M$  is a smooth  $n$ -dimensional manifold with boundary  $\partial M = E$ . Let  $c = \dim L = n - 1 - b$ . Fix a perversity  $\bar{p}$  satisfying the Goresky-MacPherson growth conditions  $\bar{p}(2) = 0$ ,  $\bar{p}(s) \leq \bar{p}(s+1) \leq \bar{p}(s) + 1$  for all  $s \in \{2, 3, \dots\}$ . Set  $k = c - \bar{p}(c+1)$ . The growth conditions ensure that  $k > 0$ . Let  $\bar{q}$  be the dual perversity to  $\bar{p}$ . The integer  $l = c - \bar{q}(c+1)$  is positive. Assume that there exist  $G$ -equivariant Moore approximations of degree  $k$  and  $l$ ,

$$f_{<k} : L_{<k} \rightarrow L \text{ and } f_{<l} : L_{<l} \rightarrow L$$

for some choice of structure group  $G$  for the bundle  $\pi : E \rightarrow B$ .



We perform the fiberwise truncation and cotruncation of Section 6 on the link bundle

$$\pi : E = \partial M \rightarrow B,$$

use these constructions to define two incarnations of intersection spaces,  $I^{\bar{p}}X$  and  $J^{\bar{p}}X$  associated to  $X$ , and show that they are homotopy equivalent. The first,  $I^{\bar{p}}X$ , agrees with the original definition given by the first author in [3] in all cases where they can be compared, the second  $J^{\bar{p}}X$  has not been given before. It is introduced here to facilitate certain computations.

**Definition 9.1.** Define the map  $\tau_{<k} : \text{ft}_{<k}E \rightarrow M$  to be the composition

$$\tau_{<k} : \text{ft}_{<k}E \xrightarrow{F_{<k}} E = \partial M \xrightarrow{i} M,$$

where  $i$  is the canonical inclusion of  $\partial M$  as the boundary. Define  $I^{\bar{p}}X$  to be the homotopy cofiber of  $\tau_{<k}$ , i.e. the homotopy pushout of the pair of maps

$$\star \longleftarrow \text{ft}_{<k}E \xrightarrow{\tau_{<k}} M.$$

This is called the  $\bar{p}$ -intersection space for  $X$  defined via truncation. If  $E \cong B \times L$  is a product bundle, then this agrees with [3, Definition 2.41].

**Definition 9.2.** In Section 6, we obtained the map  $C_{\geq k} : E \rightarrow Q_{\geq k}E$ . Define the  $\bar{p}$ -intersection space for  $X$  via cotruncation,  $J^{\bar{p}}X$ , to be the space obtained as the homotopy pushout of

$$Q_{\geq k} \xleftarrow{C_{\geq k}} E \xrightarrow{i} M.$$

We have the following diagram of topological spaces, commutative up to homotopy, in which every square is a homotopy pushout square:

$$\begin{array}{ccccc} \text{ft}_{<k}E & \xrightarrow{F_{<k}} & E & \xrightarrow{i} & M \\ \downarrow \pi_{<k} & & \downarrow c_{\geq k} & & \downarrow \eta_{\geq k} \\ B & \xrightarrow{\sigma} & \text{ft}_{\geq k}E & & \\ \downarrow & & \downarrow \xi_{\geq k} & & \downarrow \nu_{\geq k} \\ \star & \xrightarrow{[c]} & Q_{\geq k}E & \xrightarrow{\nu_{\geq k}} & J^{\bar{p}}X, \end{array}$$

where  $\eta_{\geq k}$  and  $\nu_{\geq k}$  are defined to be the maps coming from the definition of  $J^{\bar{p}}X$  as a homotopy pushout.

**Lemma 9.3.** *The canonical collapse map  $J^{\bar{p}}X \rightarrow I^{\bar{p}}X$  is a homotopy equivalence.*

*Proof.* By construction, the space  $J^{\bar{p}}X$  contains the cone on  $B$ ,  $cB$ , as a subspace and  $(J^{\bar{p}}X, cB)$  is an NDR-pair. Since  $cB$  is contractible, the collapse map  $J^{\bar{p}}X \rightarrow J^{\bar{p}}X/cB$  is a homotopy equivalence. The quotient  $J^{\bar{p}}X/cB$  is homeomorphic to  $I^{\bar{p}}X$ .  $\square$

The sequence

$$\text{ft}_{<k}E \xrightarrow{\tau_{<k}} M \longrightarrow \text{cone}(\tau_{<k}) = I^{\bar{p}}X$$

induces a long exact sequence

$$(9.1) \quad \longrightarrow H^{r-1}(\text{ft}_{<k}E) \xrightarrow{\delta^{\bar{p},r}} \tilde{H}^r(I^{\bar{p}}X) \xrightarrow{\eta_{\geq k}^r} H^r(M) \xrightarrow{\tau_{<k}^r} H^r(\text{ft}_{<k}E) \longrightarrow .$$

Furthermore, we can define  $\widehat{M}$  to be the homotopy pushout of the pair of maps

$$\star \longleftarrow \partial M = E \xrightarrow{i} M.$$

This is nothing but the space  $M$  with a cone attached to the boundary. Define  $J^{-1}X$  to be the homotopy pushout obtained from the pair of maps

$$\star \longleftarrow Q_{\geq k}E \xrightarrow{\nu_{\geq k}} J^{\bar{p}}X.$$

**Lemma 9.4.** *The canonical collapse map  $J^{-1}X \rightarrow \widehat{M}$  is a homotopy equivalence.*

*Proof.* The space  $J^{-1}X$  contains the cone  $cQ_{\geq k}E$  as a subspace and  $(J^{-1}X, cQ_{\geq k}E)$  is an NDR-pair. Thus the collapse map  $J^{-1}X \rightarrow J^{-1}X/cQ_{\geq k}E$  is a homotopy equivalence. The quotient  $J^{-1}X/cQ_{\geq k}E$  is homeomorphic to  $\widehat{M}$ .  $\square$

By the lemma, using  $l$  and  $\bar{q}$  instead of  $k$  and  $\bar{p}$ , we have the long exact sequence (2.2) associated to  $J^{-1}X$ :

$$(9.2) \quad \longrightarrow \tilde{H}_r(Q_{\geq l}E) \xrightarrow{\nu_{\geq l,r}} \tilde{H}_r(J^{\bar{q}}X) \xrightarrow{\zeta_{\geq l,r}} H_r(M, \partial M) \xrightarrow{\delta_r^{\bar{q}}} \tilde{H}_{r-1}(Q_{\geq l}E) \longrightarrow,$$

where  $\zeta_{\geq l}$  is the composition of the map  $J^{\bar{q}}X \rightarrow J^{-1}X$ , defined by  $J^{-1}X$  as a homotopy pushout, with the collapse map  $J^{-1}X \xrightarrow{\simeq} \widehat{M}$ . In the sequence, we have identified  $\tilde{H}_r(\widehat{M}) \cong H_r(M, \partial M)$ .

**Theorem 9.5.** *Let  $(X, B)$  be a compact, oriented, two strata pseudomanifold of dimension  $n$ . Let  $\bar{p}$  and  $\bar{q}$  be complementary perversities, and  $k = c - \bar{p}(c+1)$ ,  $l = c - \bar{q}(c+1)$ , where  $c = n - 1 - \dim B$ . Assume that equivariant Moore approximations to  $L$  of degree  $k$  and degree  $l$  exist. If the local duality obstructions  $\mathcal{O}_*(\pi, k, l)$  of the link bundle  $\pi$  vanish, then there is a global Poincaré duality isomorphism*

$$(9.3) \quad \tilde{H}^r(I^{\bar{p}}X) \cong \tilde{H}_{n-r}(I^{\bar{q}}X).$$

*Proof.* We achieve this by pairing the sequence (9.1) with the sequence (9.2) (observing Lemma 9.3) and using the five lemma. Consider the following diagram of solid arrows whose rows are exact:

$$(9.4) \quad \begin{array}{ccccccc} \longrightarrow & H^{r-1}(\text{ft}_{<k}E) & \xrightarrow{\delta_{\bar{p},*}} & \tilde{H}^r(I^{\bar{p}}X) & \xrightarrow{\eta_{\geq k}^*} & H^r(M) & \xrightarrow{\tau_{<k}^*} & H^r(\text{ft}_{<k}E) \\ & \cong \downarrow D_{k,l}^{r-1} & & \downarrow D_{IX}^r & & \cong \downarrow D_M^r & & \cong \downarrow D_{k,l}^r \\ & \longrightarrow & \tilde{H}_{n-r}(Q_{\geq l}E) & \xrightarrow{\nu_{\geq l,*}} & \tilde{H}_{n-r}(I^{\bar{q}}X) & \xrightarrow{\zeta_{\geq l,*}} & H_{n-r}(M, \partial M) & \xrightarrow{\delta_{\bar{q}}^*} & \tilde{H}_{n-r-1}(Q_{\geq l}E) \end{array}$$

Here  $D_{k,l}^r$  comes from Proposition 6.7, and  $D_M^r$  comes from the classical Lefschetz duality for manifolds with boundary. The solid arrow square on the right can be written as

$$\begin{array}{ccccc} H^r(M) & \xrightarrow{i^*} & H^r(\partial M) & \xrightarrow{F_{<k}^*} & H^r(\text{ft}_{<k}E) \\ \cong \downarrow D_M^r & & \cong \downarrow D_{\partial M}^r & & \cong \downarrow D_{k,l}^r \\ H_{n-r}(M, \partial M) & \xrightarrow{\delta_{*, \partial M}^*} & H_{n-r-1}(\partial M) & \xrightarrow{C_{\geq l,*}} & \tilde{H}_{n-r-1}(Q_{\geq l}E) \end{array}$$

The left square commutes by classical Poincaré-Lefschetz duality, and the right square commutes by Proposition 6.9 and Proposition 6.10, since  $\mathcal{O}_*(\pi, k, l) = 0$ . Thus diagram (9.4) commutes. By e.g. [3, Lemma 2.46], we may find a map  $D_{IX}^r$  to fill in the dotted arrow so that the diagram commutes. By the five lemma,  $D_{IX}^r$  is an isomorphism.  $\square$

It does not follow from this proof that for a  $4d$ -dimensional Witt space  $X$  the associated intersection form  $\tilde{H}_{2d}(IX) \times \tilde{H}_{2d}(IX) \rightarrow \mathbb{Q}$  is symmetric, where  $IX = I^{\bar{m}}X = I^{\bar{n}}X$ . In Section 11, however, we shall prove that the isomorphism (9.3) can always be constructed so as to yield a symmetric intersection form (cf. Proposition 11.11).

## 10. MOORE APPROXIMATIONS AND THE INTERSECTION HOMOLOGY SIGNATURE

Assume that  $(X, B)$  is a two-strata Witt space with  $\dim X = n = 4d$ ,  $d > 0$ , and  $\dim B = b$ , then  $c = 4d - 1 - b = \dim L$ . If we use the upper-middle perversity  $\bar{n}$  and the lower-middle perversity  $\bar{m}$ , which are complementary, we get the associated pair of integers  $k = \lfloor \frac{c+1}{2} \rfloor$  and  $l = \lceil \frac{c+1}{2} \rceil$ . When  $c$  is odd then  $k = l = \frac{c+1}{2}$ , and when  $c$  is even then  $k = c/2$  and  $l = k + 1$ . Notice that the codimension of  $B$  in  $X$  is  $c + 1$ . So the Witt condition says that when  $c$  is even then  $H_{\frac{c}{2}}(L) = 0$ . In this case if an equivariant Moore approximation of degree  $k$  exists, then so does one of degree  $k + 1 = l$  and they can be chosen to be equal. Therefore, when  $X$  satisfies the Witt condition and an equivariant Moore approximation to  $L$  of degree  $k$  exists, we can construct  $I^{\bar{m}}X = I^{\bar{n}}X$  and  $J^{\bar{m}}X = J^{\bar{n}}X$ . We denote the former space  $IX$  and the latter  $JX$  and call this homotopy type the *intersection space* associated to the Witt space  $X$ .

The cone bundle  $DE$  is nothing but  $\text{ft}_{\geq c+1}E$  with  $L_{< c+1} = L$ . Note that when  $E = \partial M$  as above, then  $DE$  is a two strata space with boundary  $\partial DE = \partial M$ , and we can realize  $X$  as the pushout of the pair of maps  $M \xleftarrow{i} \partial M \xrightarrow{c_{\geq c+1}} DE$ . Thus  $\partial M$  is bi-collared in  $X$  and by Novikov additivity, Prop. II,3.1 [35], we have that the intersection homology Witt element  $w_{IH}$ , defined in I,4.1 [35], is additive over these parts,

$$(10.1) \quad w_{IH}(X) = w_{IH}(\widehat{M}) + w_{IH}(TE) \in W(\mathbb{Q}),$$

where the Thom space  $TE$  is  $DE$  with a cone attached to its boundary, and  $W(\mathbb{Q})$  is the Witt group of  $\mathbb{Q}$ . When  $X$  is Witt, we write  $IH_*(X)$  for  $I^{\bar{m}}H_*(X) = I^{\bar{n}}H_*(X)$ .

**Proposition 10.1.** *If an equivariant Moore approximation to  $L$  of degree  $k = \lfloor \frac{1}{2}(\dim L + 1) \rfloor$  exists, then the middle degree, middle perversity intersection homology of the  $n = 4d$ -dimensional Witt space  $TE$  vanishes,*

$$IH_{2d}(TE) = 0.$$

*Proof.* In this proof we use the notation  $\dot{c}E$  and  $\dot{D}E$  to mean the open cone on  $E$  and the open cone bundle associated to  $E$ . According to (5.3),

$$I^{\bar{p}}H_r(\dot{D}E) \cong I^{\bar{p}}H_r(DE), \quad \text{and} \quad I^{\bar{p}}H_r(\dot{c}E) \cong I^{\bar{p}}H_r(cE)$$

for all  $r \geq 0$ . Hence, as in the proof of Proposition 5.3, we can identify the long exact sequence of intersection homology groups associated to the pair  $(\dot{D}E, \dot{D}E \setminus B)$  with the same sequence associated to the  $\partial$ -stratified pseudomanifold  $(DE, E)$  from (5.2).

Define open subsets  $U, V$  of  $TE$  by  $U = TE \setminus B = \dot{c}E$  and  $V = TE \setminus c = \dot{D}E$ , where  $c$  is the cone point. Then  $TE = U \cup V$  and  $U \cap V = E \times (-1, 1)$ . The Mayer-Vietoris sequence associated to the pair  $(U, V)$  gives

$$(10.2) \quad \longrightarrow H_r(E) \xrightarrow{i_r^{TE}} IH_r(\dot{D}E) \oplus IH_r(\dot{c}E) \xrightarrow{j_r^{TE}} IH_r(TE) \xrightarrow{\delta_r^{TE}} H_{r-1}(E) \longrightarrow$$

Here we have identified  $IH_r(E \times (-1, 1)) \cong H_r(E)$ . After making the identifications as described in the previous paragraph, the map  $i_r^{TE} = i_r^{DE} \oplus i_r^{cE}$  is identified as the sum of the maps coming from the sequences associated to the pairs  $(DE, E)$  and  $(cE, E)$  respectively. In degrees  $r < 2d$  we know from Proposition 5.3 that  $i_r^{cE}$  is an isomorphism  $H_r(E) = IH_r(cE)$ . Thus  $i_r^{TE}$  is injective for  $r < 2d$ . Consequently, when  $r = 2d$ , we have an exact sequence

$$\cdots \longrightarrow H_{2d}(E) \longrightarrow IH_{2d}(DE) \oplus IH_{2d}(cE) \longrightarrow IH_{2d}(TE) \longrightarrow 0.$$

By the cone formula for intersection homology,  $IH_{2d}(cE) = 0$ , since  $2d = \dim E - \bar{m}(\dim E + 1)$ . Now by Proposition 6.5, the map  $H_{2d}(E) \rightarrow IH_{2d}(DE)$  is surjective.  $\square$

**Corollary 10.2.** *Let  $X$  be a compact, oriented,  $n = 4d$ -dimensional stratified pseudomanifold of depth 1 which satisfies the Witt condition. If equivariant Moore approximations of degree  $k = \lfloor \frac{1}{2}(\dim L + 1) \rfloor$  to the links of the singular set exist, then*

$$w_{IH}(X) = w_{IH}(\widehat{M}) \in W(\mathbb{Q}).$$

*In particular, the signature of the intersection form on intersection homology satisfies*

$$\sigma_{IH}(X) = \sigma_{IH}(\widehat{M}).$$

*Proof.* If  $IH_{2n}(TE) = 0$ , then  $w_{IH}(TE) = 0$ . The assertion follows from Novikov additivity (10.1).  $\square$

**Example 10.3.** Let  $X = \mathbb{C}\mathbb{P}^2$  be complex projective space with  $B = \mathbb{C}\mathbb{P}^1 \subset X$  as the bottom stratum, so that the link bundle is the Hopf bundle over  $B$ . Then

$$\sigma_{IH}(X) = \sigma(\mathbb{C}\mathbb{P}^2) = 1,$$

but

$$\sigma(M, \partial M) = \sigma(D^4, S^3) = 0.$$

Indeed, the link  $S^1$  in the Hopf bundle has no middle-perversity equivariant Moore-approximation because the Hopf bundle has no section.

## 11. THE SIGNATURE OF INTERSECTION SPACES

Theorem 2.28 in [3] states that for a closed, oriented,  $4d$ -dimensional Witt space  $X$  with only isolated singularities, the signature of the symmetric nondegenerate intersection form

$$\widetilde{H}_{2d}(IX) \times \widetilde{H}_{2d}(IX) \rightarrow \mathbb{Q}$$

equals the signature of the Goresky-MacPherson-Siegel intersection form

$$IH_{2d}(X) \times IH_{2d}(X) \rightarrow \mathbb{Q}$$

on middle-perversity intersection homology. In fact, both are equal to the Novikov signature of the top stratum. We shall here generalize that theorem to spaces with twisted link bundles that allow for equivariant Moore approximation.

**Definition 11.1.** Define the signature of a  $4d$ -dimensional manifold-with-boundary  $(M, \partial M)$  to be

$$\sigma(M, \partial M) = \sigma(\beta),$$

where  $\beta$  is the bilinear form

$$\beta : \text{im } j_* \times \text{im } j_* \rightarrow \mathbb{Q}, (j_*v, j_*w) \mapsto (d_M(v))(j_*w),$$

the homomorphism

$$j_* : H_{2d}(M) \longrightarrow H_{2d}(M, \partial M)$$

is induced by the inclusion, and

$$d_M : H_{2d}(M) \longrightarrow H^{2d}(M, \partial M)$$

is Lefschetz duality. This is frequently referred to as the *Novikov signature* of  $(M, \partial M)$ . It is well-known ([35]) that  $\sigma(M, \partial M) = \sigma_{IH}(\widehat{M})$ .

Let  $(X, B)$  be a two strata Witt space with  $\dim X = n = 4d$ ,  $\dim B = b$ . We assume that an equivariant Moore approximation of degree  $k = 4d - b - 1 - \bar{m}(4d - b)$  exists for the link  $L$  of  $B$  in  $X$ , and that the local duality obstruction  $\mathcal{O}_*(\pi, k, k)$  vanishes. As discussed in the previous section, this implies that the intersection space  $IX$  exists and is well-defined. Theorem 9.5 asserts that  $IX$  satisfies Poincaré duality

$$d_{IX} : \tilde{H}_{2d}(IX) \xrightarrow{\cong} \tilde{H}^{2d}(IX).$$

We shall show (Proposition 11.11) that  $d_{IX}$  can in fact be so constructed that the associated intersection form on the middle-dimensional homology is symmetric. One may then consider its signature:

**Definition 11.2.** The signature of the space  $IX$ ,

$$\sigma(IX) = \sigma(\beta),$$

is defined to be the signature of the symmetric bilinear form

$$\beta : \tilde{H}_m(IX) \times \tilde{H}_m(IX) \rightarrow \mathbb{Q},$$

with  $m = 2d$ , defined by

$$\beta(v, w) = d_{IX}(v)(w)$$

for any  $v, w \in \tilde{H}_m(IX)$ . Here we have identified  $\tilde{H}^m(IX) \cong \tilde{H}_m(IX)^\dagger$  via the universal coefficient theorem.

**Theorem 11.3.** *The signature of  $IX$  is supported away from the singular set  $B$ , that is,*

$$\sigma(IX) = \sigma(M, \partial M).$$

Before we prove this theorem, we note that in view of Corollary 10.2, we immediately obtain:

**Corollary 11.4.** *If a two-strata Witt space  $(X, B)$  allows for middle-perversity equivariant Moore-approximation of its link and has vanishing local duality obstruction, then*

$$\sigma_{IH}(X) = \sigma(IX).$$

The rest of this section is devoted to the proof of Theorem 11.3. We build on the method of Spiegel [37], which in turn is partially based on the methods introduced in the proof of [3, Theorem 2.28]. Regarding notation, we caution that the letters  $i$  and  $j$  will both denote certain inclusion maps and appear as indices. This cannot possibly lead to any confusion.

Let  $\{e_1, \dots, e_r\}$  be any basis for  $j_*H_m(M)$ , where

$$j_* : H_m(M) \longrightarrow H_m(M, \partial M)$$

is induced by the inclusion. For every  $i = 1, \dots, r$ , pick a lift  $\bar{e}_i \in H_m(M)$ ,  $j_*(\bar{e}_i) = e_i$ . Then  $\{\bar{e}_1, \dots, \bar{e}_r\}$  is a linearly independent set in  $H_m(M)$  and

$$(11.1) \quad \mathbb{Q}\langle \bar{e}_1, \dots, \bar{e}_r \rangle \cap \ker j_* = \{0\}.$$

Let

$$d_M : H_m(M) \xrightarrow{\cong} H^m(M, \partial M) = H_m(M, \partial M)^\dagger$$

be the Lefschetz duality isomorphism, i.e. the inverse of

$$D'_M : H^m(M, \partial M) \xrightarrow{\cong} H_m(M),$$

given by capping with the fundamental class  $[M, \partial M] \in H_{2m}(M, \partial M)$ . Let

$$d'_M : H_m(M, \partial M) \xrightarrow{\cong} H^m(M)$$

be the inverse of

$$D_M : H^m(M) \xrightarrow{\cong} H_m(M, \partial M),$$

given by capping with the fundamental class. We shall make frequent use of the symmetry identity

$$d_M(v)(w) = d'_M(w)(v),$$

$v \in H_m(M)$ ,  $w \in H_m(M, \partial M)$ , which holds since the cup product of  $m$ -dimensional cohomology classes commutes as  $m = 2d$  is even. The commutative diagram

$$\begin{array}{ccc} H_m(M) & \xrightarrow{j_*} & H_m(M, \partial M) \\ d_M \downarrow & & \downarrow d'_M \\ H^m(M, \partial M) & \xrightarrow{j^*} & H^m(M) \end{array}$$

implies that the symmetry equation

$$d_M(\bar{e}_i)(e_j) = d_M(\bar{e}_j)(e_i)$$

holds, as the calculation

$$\begin{aligned} d_M(\bar{e}_i)(e_j) &= d_M(\bar{e}_i)(j_* \bar{e}_j) = j^* d_M(\bar{e}_i)(\bar{e}_j) = d'_M(j_* \bar{e}_i)(\bar{e}_j) \\ &= d'_M(e_i)(\bar{e}_j) = d_M(\bar{e}_j)(e_i) \end{aligned}$$

shows.

In the proof of [3, Theorem 2.28], the first author introduced the annihilation subspace  $Q \subset H_m(M, \partial M)$ ,

$$Q = \{q \in H_m(M, \partial M) \mid d_M(\bar{e}_i)(q) = 0 \text{ for all } i\}.$$

It is shown on p. 138 of *loc. cit.* that one obtains an internal direct sum decomposition

$$H_m(M, \partial M) = \text{im } j_* \oplus Q.$$

Let  $L \subset \tilde{H}_m(IX)$  be the kernel of the map

$$\zeta_{\geq k*} : \tilde{H}_m(IX) \longrightarrow H_m(M, \partial M).$$

Once we have completed the construction of a symmetric intersection form,  $L$  will eventually be shown to be a Lagrangian subspace of an appropriate subspace of  $\tilde{H}_m(IX)$ . Let  $\{u_1, \dots, u_l\}$  be any basis for  $L$ .

We consider the commutative diagram

$$(11.2) \quad \begin{array}{ccccccc} & & H_m(M, \partial M) & \xlongequal{\quad} & H_m(M, \partial M) & & \\ & & \uparrow j_* & & \uparrow \zeta_{\geq k*} & & \\ H_m(\text{ft}_{<k} E) & \xrightarrow{\tau_{<k*}} & H_m(M) & \xrightarrow{\eta_{\geq k*}} & \tilde{H}_m(IX) & \xrightarrow{\delta_*} & H_{m-1}(\text{ft}_{<k} E) \\ \parallel & & \uparrow i_* & & \uparrow \nu_{\geq k*} & & \parallel \\ H_m(\text{ft}_{<k} E) & \xleftarrow{F_{<k*}} & H_m(\partial M) & \xrightarrow{C_{\geq k*}} & \tilde{H}_m(Q_{\geq k} E) & \xrightarrow{\delta_* = 0} & H_{m-1}(\text{ft}_{<k} E) \end{array}$$

The rows and columns are exact and we have used Lemma 6.6. By exactness of the right hand column, the basis elements  $u_j$  can be lifted to  $\tilde{H}_m(Q_{\geq k} E)$ , and by the surjectivity of  $C_{\geq k*}$ , these lifts can be further lifted to  $H_m(\partial M)$ . In this way, we obtain linearly independent elements  $\bar{u}_1, \dots, \bar{u}_l$  in  $H_m(\partial M)$  such that

$$\eta_{\geq k*} i_* (\bar{u}_j) = \nu_{\geq k*} C_{\geq k*} (\bar{u}_j) = u_j$$

for all  $j$ . Setting

$$w^j = d_M(i_*(\bar{u}_j))$$

yields a linearly independent set  $\{w^1, \dots, w^l\} \subset H^m(M, \partial M)$ . From now on, let us briefly write  $\eta_*$ ,  $\zeta_*$ , etc., for  $\eta_{\geq k*}$ ,  $\zeta_{\geq k*}$ , etc. Since  $\eta_* i_*(\bar{u}_j) = u_j$ , we have

$$\mathbb{Q}\langle i_*(\bar{u}_1), \dots, i_*(\bar{u}_l) \rangle \cap \ker \eta_* = \{0\}.$$

Together with (11.1), and noting  $\ker \eta_* \subset \ker j_*$ , this shows that there exists a linear subspace  $A \subset H_m(M)$  yielding an internal direct sum decomposition

$$(11.3) \quad H_m(M) = \mathbb{Q}\langle i_*(\bar{u}_1), \dots, i_*(\bar{u}_l) \rangle \oplus \ker \eta_* \oplus \mathbb{Q}\langle \bar{e}_1, \dots, \bar{e}_r \rangle \oplus A.$$

Setting

$$Z = \ker \eta_* \oplus \mathbb{Q}\langle \bar{e}_1, \dots, \bar{e}_r \rangle \oplus A,$$

we have

$$H_m(M) = \mathbb{Q}\langle i_*(\bar{u}_1), \dots, i_*(\bar{u}_l) \rangle \oplus Z,$$

such that

$$(11.4) \quad \ker \eta_* \subset Z \text{ and } \mathbb{Q}\langle \bar{e}_1, \dots, \bar{e}_r \rangle \subset Z.$$

Choose a basis  $\{\tilde{z}_1, \dots, \tilde{z}_s\}$  of  $Z$  and put  $z^j = d_M(\tilde{z}_j) \in H^m(M, \partial M)$ . Then  $\{z^1, \dots, z^s\}$  is a basis for  $d_M(Z)$  and

$$H^m(M, \partial M) = \mathbb{Q}\langle w^1, \dots, w^l \rangle \oplus \mathbb{Q}\langle z^1, \dots, z^s \rangle.$$

Let

$$\{w_1, \dots, w_l, z_1, \dots, z_s\} \subset H_m(M, \partial M)$$

be the dual basis of  $\{w^1, \dots, w^l, z^1, \dots, z^s\}$ , that is,

$$(11.5) \quad w^i(w_j) = \delta_{ij}, \quad z^i(z_j) = \delta_{ij}, \quad w^i(z_j) = 0, \quad z^i(w_j) = 0.$$

**Lemma 11.5.** *The set  $\{w_1, \dots, w_l\}$  is contained in the image of  $\zeta_*$ .*

*Proof.* In view of the commutative diagram

$$\begin{array}{ccccc} \tilde{H}_m(IX) & \xrightarrow{\zeta_*} & H_m(M, \partial M) & \xrightarrow{\delta_*} & \tilde{H}_{m-1}(Q_{\geq k}E) \\ & & d'_M \downarrow \cong & & \cong \uparrow D_{k,k} \\ & & H^m(M) & \xrightarrow{\tau^*} & H^m(\text{ft}_{<k}E), \end{array}$$

it suffices to show that  $\delta_*(w_j) = 0$ , since the top row is exact. Let  $x \in H_m(\text{ft}_{<k}E)$  be any element. Then  $\tau_*x \in \ker \eta_* \subset Z$ , so  $d_M(\tau_*x)(w_j) = 0$  by (11.5). Consequently,

$$(\tau^* d'_M(w_j))(x) = d'_M(w_j)(\tau_*x) = d_M(\tau_*x)(w_j) = 0.$$

It follows that  $\tau^* d'_M(w_j) = 0$  and in particular

$$\delta_*(w_j) = D_{k,k} \tau^* d'_M(w_j) = 0.$$

□

Suppose that  $v \in \ker \zeta_* \cap \eta_* \langle \bar{e}_1, \dots, \bar{e}_r \rangle$ . Then  $v$  is a linear combination  $v = \eta_* \sum \lambda_i \bar{e}_i$  and

$$0 = \zeta_*(v) = \zeta_* \eta_* \sum \lambda_i \bar{e}_i = \sum \lambda_i j_*(\bar{e}_i) = \sum \lambda_i e_i.$$

Thus  $\lambda_i = 0$  for all  $i$  by the linear independence of the  $e_i$ . This shows that

$$L \cap \eta_* \langle \bar{e}_1, \dots, \bar{e}_r \rangle = \{0\}.$$



Therefore, it is possible to choose a direct sum complement  $W \subset \tilde{H}_m(IX)$  of  $L = \ker \zeta_*$ ,

$$(11.6) \quad \tilde{H}_m(IX) = L \oplus W,$$

such that

$$(11.7) \quad \eta_* \langle \bar{e}_1, \dots, \bar{e}_r \rangle \subset W.$$

The restriction

$$\zeta_*|_W : W \longrightarrow \text{im } \zeta_*$$

is then an isomorphism and thus by Lemma 11.5, we may define

$$\bar{w}_j = (\zeta_*|_W)^{-1}(w_j).$$

We define subspaces  $V, L' \subset W$  by

$$V = (\zeta_*|_W)^{-1}(\text{im } j_*), \quad L' = (\zeta_*|_W)^{-1}(Q \cap \text{im } \zeta_*).$$

Recall that  $\{e_1, \dots, e_r\}$  is a basis of  $\text{im } j_*$ . Setting

$$v_j = (\zeta_*|_W)^{-1}(e_j),$$

yields a basis  $\{v_1, \dots, v_r\}$  for  $V$ . From

$$\zeta_*(v_i) = e_i = j_*(\bar{e}_i) = \zeta_*\eta_*(\bar{e}_i)$$

it follows that

$$v_i = \eta_*(\bar{e}_i),$$

since both  $v_i$  and  $\eta_*(\bar{e}_i)$  are in  $W$  and  $\zeta_*$  is injective on  $W$ .

The decomposition  $H_m(M, \partial M) = \text{im } j_* \oplus Q$  induces a decomposition

$$\text{im } \zeta_* = (\text{im } j_* \oplus Q) \cap \text{im } \zeta_* = \text{im } j_* \oplus (Q \cap \text{im } \zeta_*).$$

Applying the isomorphism  $(\zeta_*|_W)^{-1}$ , we receive a decomposition

$$W = (\zeta_*|_W)^{-1}(\text{im } j_*) \oplus (\zeta_*|_W)^{-1}(Q \cap \text{im } \zeta_*) = V \oplus L'.$$

By (11.6), we arrive at a decomposition

$$\tilde{H}_m(IX) = L \oplus V \oplus L'.$$

**Lemma 11.6.** *The set  $\{\bar{w}_1, \dots, \bar{w}_l\} \subset W$  is contained in  $L'$ .*

*Proof.* By construction of  $L'$ , we have to show that  $\zeta_*(\bar{w}_j) \in Q$  for all  $j$ . Now  $\zeta_*(\bar{w}_j) = w_j$ , so by construction of  $Q$ , we need to demonstrate that  $d_M(\bar{e}_i)(w_j) = 0$  for all  $i$ . By (11.4),  $d_M(\bar{e}_i) \in d_M(Z)$ , whence the result follows from (11.5).  $\square$

**Lemma 11.7.** *The set  $\{\bar{w}_1, \dots, \bar{w}_l\} \subset W$  is a basis for  $L'$ .*

*Proof.* The preimages  $\bar{w}_j = (\zeta_*|_W)^{-1}(w_j)$  under the isomorphism  $\zeta_*|_W$  are linearly independent since  $\{w_1, \dots, w_l\}$  is a linearly independent set. In particular,  $\dim L' \geq l$ . It remains to be shown that  $\dim L' \leq l$ . Standard linear algebra provides the inequality

$$\text{rk } \eta_* \leq \dim \ker \zeta_* + \text{rk}(\zeta_*\eta_*),$$

valid for the composition of any two linear maps. As  $\zeta_*\eta_* = j_*$ , we may rewrite this as

$$(11.8) \quad \text{rk } \eta_* \leq l + \text{rk } j_*.$$

By Theorem 9.5, there exists some isomorphism  $\tilde{H}^m(IX) \rightarrow \tilde{H}_m(IX)$  such that

$$(11.9) \quad \begin{array}{ccc} \tilde{H}^m(IX) & \xrightarrow{\eta^*} & H^m(M) \\ \cong \downarrow & & \cong \downarrow D_M \\ \tilde{H}_m(IX) & \xrightarrow{\zeta_*} & H_m(M, \partial M) \end{array}$$

commutes. Therefore,

$$\text{rk } \zeta_* = \text{rk } \eta^* = \text{rk } \eta_*,$$

and by (11.8),

$$\text{rk } \zeta_* \leq l + \text{rk } j_*.$$

The decomposition (11.6) implies that

$$\dim \tilde{H}_m(IX) = l + \dim W = l + \text{rk } \zeta_* \leq 2l + \text{rk } j_*.$$

On the other hand, the decomposition  $\tilde{H}_m(IX) = L \oplus V \oplus L'$  implies

$$\dim \tilde{H}_m(IX) = l + \dim V + \dim L' = l + \text{rk } j_* + \dim L'.$$

It follows that

$$l + \text{rk } j_* + \dim L' \leq 2l + \text{rk } j_*$$

and thus

$$\dim L' \leq l.$$

□

In summary then, we have constructed a certain basis

$$(11.10) \quad \{u_1, \dots, u_l, v_1, \dots, v_r, \bar{w}_1, \dots, \bar{w}_l\}$$

for  $\tilde{H}_m(IX) = L \oplus V \oplus L'$ .

**Remark 11.8.** The above proof shows that  $\text{rk } \eta_* \leq l + \text{rk } j_* = l + r$ . Thus the restriction of  $\eta_*$  to the subspace  $A \subset H_m(M)$  in the decomposition (11.3) is zero, which implies that  $A \subset \ker \eta_*$  and so  $A = \{0\}$ . The decomposition of  $H_m(M)$  is thus seen to be

$$(11.11) \quad H_m(M) = \mathbb{Q}\langle i_*(\bar{u}_1), \dots, i_*(\bar{u}_l) \rangle \oplus \ker \eta_* \oplus \mathbb{Q}\langle \bar{e}_1, \dots, \bar{e}_r \rangle.$$

In particular,

$$Z = \ker \eta_* \oplus \mathbb{Q}\langle \bar{e}_1, \dots, \bar{e}_r \rangle.$$

Let

$$\{u^1, \dots, u^l, v^1, \dots, v^r, \bar{w}^1, \dots, \bar{w}^l\}$$

be the dual basis for  $\tilde{H}^m(IX)$ . Setting

$$L^\dagger = \mathbb{Q}\langle u^1, \dots, u^l \rangle, \quad V^\dagger = \mathbb{Q}\langle v^1, \dots, v^r \rangle, \quad (L')^\dagger = \mathbb{Q}\langle \bar{w}^1, \dots, \bar{w}^l \rangle,$$

we get a dual decomposition

$$\tilde{H}^m(IX) = L^\dagger \oplus V^\dagger \oplus (L')^\dagger.$$

We define the duality map

$$d_{IX} : \tilde{H}_m(IX) \longrightarrow \tilde{H}^m(IX)$$

on basis elements to be

$$\begin{aligned} d_{IX}(u_j) &:= \bar{w}^j, \\ d_{IX}(\bar{w}_j) &:= u^j, \\ d_{IX}(v_j) &:= \zeta^* d_M(\bar{e}_j). \end{aligned}$$

We shall now prove that  $d_{IX}$  is an isomorphism.

**Lemma 11.9.** *The image  $d_{IX}(V)$  is contained in  $V^\dagger$ .*

*Proof.* In terms of the dual basis,  $d_{IX}(v_j)$  can be expressed as a linear combination

$$d_{IX}(v_j) = \sum_p \pi_p u^p + \sum_q \epsilon_q v^q + \sum_i \lambda_i \bar{w}^i.$$

The coefficients  $\pi_p$  are

$$\pi_p = (\zeta^* d_M(\bar{e}_j))(u_p) = d_M(\bar{e}_j)(\zeta_* u_p) = 0,$$

since  $u_p \in L = \ker \zeta_*$ . Using (11.5) and  $d_M(\bar{e}_j) \in d_M(Z) = \mathbb{Q}\langle z^1, \dots, z^s \rangle$ , we find

$$\lambda_i = (\zeta^* d_M(\bar{e}_j))(\bar{w}_i) = d_M(\bar{e}_j)(w_i) = 0.$$

□

**Lemma 11.10.** *The restriction  $d_{IX}| : V \rightarrow V^\dagger$  is injective.*

*Proof.* Suppose that  $v = \sum_q \epsilon_q v_q$  is any vector  $v \in V$  with  $d_{IX}(v) = 0$ . Then

$$\begin{aligned} 0 &= \eta^* d_{IX}(v) = \eta^* \sum_q \epsilon_q d_{IX}(v_q) = \eta^* \sum_q \epsilon_q \zeta^* d_M(\bar{e}_q) \\ &= j^* d_M \sum_q \epsilon_q \bar{e}_q = d'_M \sum_q \epsilon_q j_*(\bar{e}_q) \\ &= d'_M \sum_q \epsilon_q e_q. \end{aligned}$$

Since  $d'_M$  is an isomorphism,  $\sum_q \epsilon_q e_q = 0$  and by the linear independence of the  $e_q$ , the coefficients  $\epsilon_q$  all vanish. This shows that  $v = 0$ . □

By definition,  $d_{IX}$  maps  $L$  isomorphically onto  $(L')^\dagger$  and  $L'$  isomorphically onto  $L^\dagger$ . Since by Lemma 11.10,  $d_{IX}| : V \rightarrow V^\dagger$  is an isomorphism, we conclude that the duality map

$$d_{IX} : \tilde{H}_m(IX) \rightarrow \tilde{H}^m(IX)$$

is an isomorphism.

**Proposition 11.11.** *The intersection form*

$$\beta : \tilde{H}_m(IX) \times \tilde{H}_m(IX) \rightarrow \mathbb{Q}$$

given by  $\beta(v, w) = d_{IX}(v)(w)$  is symmetric. In fact it is given in terms of the basis (11.10) by the matrix

$$\begin{pmatrix} 0 & 0 & I \\ 0 & S & 0 \\ I & 0 & 0 \end{pmatrix},$$

where  $I$  is the  $l \times l$ -identity matrix and  $S$  is a symmetric  $r \times r$ -matrix, representing the classical intersection form on  $\text{im } j_*$  whose signature is the Novikov signature  $\sigma(M, \partial M)$ .

*Proof.* On  $V$ , we have

$$\begin{aligned} d_{IX}(v_i)(v_j) &= \zeta^* d_M(\bar{e}_i)(v_j) = \zeta^* d_M(\bar{e}_i)(\eta_* \bar{e}_j) \\ &= d_M(\bar{e}_i)(j_* \bar{e}_j) = d_M(\bar{e}_i)(e_j) = d_M(\bar{e}_j)(e_i) \\ &= d_M(\bar{e}_j)(j_* \bar{e}_i) = \zeta^* d_M(\bar{e}_j)(\eta_* \bar{e}_i) \\ &= \zeta^* d_M(\bar{e}_j)(v_i) = d_{IX}(v_j)(v_i). \end{aligned}$$

These are the symmetric entries of  $S$ . Between  $V$  and  $L$  we find

$$d_{IX}(v_i)(u_j) = \zeta^* d_M(\bar{e}_i)(u_j) = d_M(\bar{e}_i)(\zeta_* u_j) = 0,$$

as  $u_j \in L = \ker \zeta_*$ . This agrees with

$$d_{IX}(u_j)(v_i) = \bar{w}^j(v_i) = 0,$$

by definition of the dual basis. The intersection pairing between  $V$  and  $L'$  is trivial as well:

$$d_{IX}(v_i)(\bar{w}_j) = \zeta^* d_M(\bar{e}_i)(\bar{w}_j) = d_M(\bar{e}_j)(\zeta_* \bar{w}_j) = d_M(\bar{e}_i)(w_j) = 0,$$

since  $d_M(\bar{e}_i) \subset d_M(Z)$ . This agrees with

$$d_{IX}(\bar{w}_j)(v_i) = u^j(v_i) = 0,$$

again by definition of the dual basis. On  $L$ ,

$$d_{IX}(u_i)(u_j) = \bar{w}^i(u_j) = 0$$

and on  $L'$ ,

$$d_{IX}(\bar{w}_i)(\bar{w}_j) = u^i(\bar{w}_j) = 0.$$

Finally, the intersection pairing between  $L$  and  $L'$  is given by

$$d_{IX}(u_i)(\bar{w}_j) = \bar{w}^i(\bar{w}_j) = \delta_{ij} = u^j(u_i) = d_{IX}(\bar{w}_j)(u_i).$$

□

Theorem 11.3 follows readily from this proposition because

$$\sigma(IX) = \sigma(S) + \sigma \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} = \sigma(S) = \sigma(M, \partial M).$$

It remains to prove that both

$$(11.12) \quad \begin{array}{ccc} \tilde{H}_m(IX) & \xrightarrow{\zeta_*} & H_m(M, \partial M) \\ d_{IX} \downarrow & & \downarrow d'_M \\ \tilde{H}^m(IX) & \xrightarrow{\eta^*} & H^m(M) \end{array}$$

and

$$(11.13) \quad \begin{array}{ccc} \tilde{H}_m(Q_{\geq k} E) & \xrightarrow{\nu_*} & \tilde{H}_m(IX) \\ D_{k,k} \uparrow & & \downarrow d_{IX} \\ H^{m-1}(\text{ft}_{<k} E) & \xrightarrow{\delta^*} & \tilde{H}^m(IX) \end{array}$$

commute. We begin with diagram (11.12) and check the commutativity on basis elements.

1. We verify that  $\eta^* d_{IX}(u_j) = d'_M \zeta_*(u_j)$  for all  $j$ . By exactness,  $\zeta_* \eta_* i_* = j_* i_* = 0$  and hence

$$d'_M \zeta_*(u_j) = d'_M \zeta_* \eta_* i_*(\bar{u}_j) = 0.$$

So it remains to show that  $\eta^* d_{IX}(u_j) = 0$ . We break this into three steps according to the decomposition (11.11). Evaluating on elements of the form  $i_* \bar{u}_i$  yields

$$\eta^* d_{IX}(u_j)(i_* \bar{u}_i) = (\eta^* \bar{w}^j)(i_* \bar{u}_i) = \bar{w}^j(\eta_* i_* \bar{u}_i) = \bar{w}^j(u_i) = 0.$$

If  $a$  is any element in  $\ker \eta_*$ , then

$$(\eta^* \bar{w}^j)(a) = \bar{w}^j(\eta_* a) = 0.$$

Before evaluating on elements  $\bar{e}_i$ , we observe that since  $\eta_* \bar{e}_i \in W$  (by (11.7)) and

$$\zeta_*(\eta_* \bar{e}_i) = j_* \bar{e}_i \in \text{im } j_*,$$

we have

$$\eta_* \bar{e}_i \in W \cap \zeta_*^{-1}(\text{im } j_*) = V.$$

It follows that

$$(\eta^* \bar{w}^j)(\bar{e}_i) = \bar{w}^j(\eta_* \bar{e}_i) = 0.$$

Thus  $\eta^* d_{IX}(u_j) = 0$  as claimed.

2. On basis elements  $v_j$ , the commutativity is demonstrated by the calculation

$$\begin{aligned} \eta^* d_{IX}(v_j) &= \eta^* \zeta^* d_M(\bar{e}_j) = j^* d_M(\bar{e}_j) = d'_M j_*(\bar{e}_j) \\ &= d'_M \zeta_* \eta_*(\bar{e}_j) = d'_M \zeta_*(v_j). \end{aligned}$$

3. We prove that  $\eta^* d_{IX}(\bar{w}_j) = d'_M \zeta_*(\bar{w}_j)$  for all  $j$ . Again it is necessary to break this into three steps according to the decomposition (11.11). Evaluating on elements of the form  $i_* \bar{u}_i$  yields

$$\eta^* d_{IX}(\bar{w}_j)(i_* \bar{u}_i) = \eta^*(u^j)(i_* \bar{u}_i) = u^j(\eta_* i_* \bar{u}_i) = u^j(u_i) = \delta_{ij}$$

and

$$d'_M \zeta_*(\bar{w}_j)(i_* \bar{u}_i) = d'_M(w_j)(i_* \bar{u}_i) = d_M(i_* \bar{u}_i)(w_j) = w^i(w_j) = \delta_{ij}.$$

If  $a$  is any element in  $\ker \eta_*$ , then

$$\eta^*(u^j)(a) = u^j(\eta_* a) = 0 = d_M(a)(w_j) = d'_M(w_j)(a),$$

using (11.5) and  $d_M(a) \in d_M(Z)$ . Finally, on elements  $\bar{e}_i$  we find

$$\eta^*(u^j)(\bar{e}_i) = u^j(\eta_* \bar{e}_i) = u^j(v_i) = 0 = d_M(\bar{e}_i)(w_j) = d'_M(w_j)(\bar{e}_i),$$

using (11.5) and  $d_M(\bar{e}_i) \in d_M(Z)$ . The commutativity of (11.12) is now established.

If  $a \in H_m(M)$  and  $b \in \tilde{H}_m(IX)$  are any elements, then using (11.12),

$$\begin{aligned} \zeta^* d_M(a)(b) &= d_M(a)(\zeta_* b) = d'_M(\zeta_* b)(a) = (\eta^* d_{IX} b)(a) \\ &= d_{IX}(b)(\eta_* a) = d_{IX}(\eta_* a)(b), \end{aligned}$$

where the last equation uses the symmetry of  $d_{IX}$ , Proposition 11.11. Hence the diagram

$$(11.14) \quad \begin{array}{ccc} H_m(M) & \xrightarrow{\eta_*} & \tilde{H}_m(IX) \\ d_M \downarrow & & \downarrow d_{IX} \\ H^m(M, \partial M) & \xrightarrow{\zeta^*} & \tilde{H}^m(IX) \end{array}$$

commutes as well. The cohomology braid of the triple

$$\begin{array}{ccc} \mathrm{ft}_{<k} E & \xrightarrow{F_{<k}} & \partial M \\ & \searrow \tau & \downarrow i \\ & & M \end{array}$$

contains the commutative square

$$(11.15) \quad \begin{array}{ccc} H^{m-1}(\partial M) & \xrightarrow{\delta^*} & H^m(M, \partial M) \\ F_{<k}^* \downarrow & & \downarrow \zeta^* \\ H^{m-1}(\mathrm{ft}_{<k} E) & \xrightarrow{\delta^*} & \tilde{H}^m(IX). \end{array}$$

We are now in a position to prove the commutativity of (11.13).

Let  $a \in H^{m-1}(\mathrm{ft}_{<k} E)$  be any element. We must show that  $d_{IX}\nu_*D_{k,k}(a) = \delta^*(a)$ . As  $F_{<k}^* : H^{m-1}(\partial M) \rightarrow H^{m-1}(\mathrm{ft}_{<k} E)$  is surjective (Lemma 6.6), there exists an  $\bar{a} \in H^{m-1}(\partial M)$  with  $a = F_{<k}^*(\bar{a})$ . By Propositions 6.9, 6.10,  $D_{k,k}$  is the unique isomorphism such that

$$\begin{array}{ccc} H^{m-1}(\partial M) & \xrightarrow{F_{<k}^*} & H^{m-1}(\mathrm{ft}_{<k} E) \\ D_{\partial M} \downarrow \cong & & \cong \downarrow D_{k,k} \\ H_m(\partial M) & \xrightarrow{C_{\geq k^*}} & \tilde{H}_m(Q_{\geq k} E) \end{array}$$

commutes. Therefore,

$$D_{k,k}(a) = D_{k,k}F_{<k}^*(\bar{a}) = C_{\geq k^*}D_{\partial M}(\bar{a}).$$

Then, by the lower middle square in Diagram (11.2),

$$\nu_*D_{k,k}(a) = \nu_*C_{\geq k^*}D_{\partial M}(\bar{a}) = \eta_*i_*D_{\partial M}(\bar{a}).$$

Applying  $d_{IX}$  and using the commutative diagram (11.14), we arrive at

$$d_{IX}\nu_*D_{k,k}(a) = d_{IX}\eta_*i_*D_{\partial M}(\bar{a}) = \zeta^*d_Mi_*D_{\partial M}(\bar{a}).$$

Now the commutative diagram

$$\begin{array}{ccc} H_m(\partial M) & \xrightarrow{i_*} & H_m(M) \\ \uparrow D_{\partial M} & & \downarrow d_M \\ H^{m-1}(\partial M) & \xrightarrow{\delta^*} & H^m(M, \partial M) \end{array}$$

shows that

$$d_{IX}\nu_*D_{k,k}(a) = \zeta^*\delta^*(\bar{a}),$$

which by Diagram (11.15) equals  $\delta^*F_{<k}^*(\bar{a}) = \delta^*(a)$ , as was to be shown.

## 12. SPHERE BUNDLES, SYMPLECTIC TORIC MANIFOLDS

We discuss equivariant Moore approximations for linear sphere bundles and for symplectic toric manifolds.

**Proposition 12.1.** *Let  $\xi = (E, \pi, B)$  be an oriented real  $n$ -plane vector bundle over a closed, oriented, connected,  $n$ -dimensional base manifold  $B$ . Let  $S(\xi)$  be the associated sphere bundle and let  $e_\xi \in H^n(B; \mathbb{Z})$  be the Euler class of  $\xi$ . Then  $S(\xi)$  can be given a structure group which allows for a degree  $k$  equivariant Moore approximation, for some  $0 < k < n$ , if and only if  $e_\xi = 0$ .*

*Proof.* Assume that  $S(\xi)$  can be given a structure group which allows for a degree  $k$  equivariant Moore approximation for some  $0 < k < n$ . If the fiber dimension  $n$  of the vector bundle is odd, then the Euler class has order two. Since  $H^n(B; \mathbb{Z}) \cong \mathbb{Z}$  is torsion free,  $e_\xi = 0$ . Thus we may assume that  $n = 2d$  is even. We form the double

$$X^{4d} = DE \cup_{SE} DE,$$

where  $DE$  is the total space of the disk bundle of  $\xi$ , and  $SE = \partial DE$ . Then  $X$  is a manifold, but we may view it as a 2-strata pseudomanifold  $(X, B)$  by taking  $B \subset X$  to be the zero section in one of the two copies of  $DE$  in  $X$ . For this stratified space,  $M = DE$ ,  $\partial M = SE$ , and  $\widehat{M} = TE$ , the Thom-space of  $\xi$ . Since the double of any manifold with boundary is nullbordant, the signature of  $X$  vanishes,  $\sigma_{IH}(X) = \sigma(X) = 0$ . Note that a degree  $k$  equivariant Moore approximation to  $S^{n-1}$ , some  $0 < k < n$ , is in particular an equivariant Moore approximation of degree  $\lfloor \frac{1}{2}(\dim S^{n-1} + 1) \rfloor = \lfloor \frac{n}{2} \rfloor$ . Thus by Corollary 10.2,

$$\sigma_{IH}(TE) = \sigma_{IH}(X) = 0.$$

The middle intersection homology of the Thom space of a vector bundle is given by

$$IH_n(TE) \cong \text{im}(H_n(DE) \rightarrow H_n(DE, SE)),$$

[29, p. 77, Example 5.2.5.3]. By homotopy invariance  $H_n(DE) \cong H_n(B) \cong \mathbb{Q}[B]$ , and by the Thom isomorphism  $H_n(DE, SE) \cong H_0(B) \cong \mathbb{Q}$ . The intersection form on the, at most one-dimensional, image is determined by the self-intersection number  $[B] \cdot [B]$  of the fundamental class of  $B$ , which is precisely the Euler number. Since  $\sigma_{IH}(TE) = 0$ , this self-intersection number, and thus  $e_\xi$ , must vanish. (Note that in this case, the map  $H_n(DE) \rightarrow H_n(DE, SE)$  is the zero map and  $IH_n(TE) = 0$ , for  $IH_n(TE) \cong \mathbb{Q}$  and  $[B] \cdot [B] = 0$  would contradict the nondegeneracy of the intersection pairing.)

Conversely, if  $e_\xi = 0$ , then [24, Thm. 2.10, p. 137] asserts that  $\xi$  has a nowhere vanishing section. This section induces a splitting  $\xi \cong \xi' \oplus \underline{\mathbb{R}}^1$ , where  $\xi'$  is an  $(n-1)$ -plane bundle and  $\underline{\mathbb{R}}^1$  denotes the trivial line bundle over  $B$ . This splitting reduces the structure group from  $\text{SO}(n)$  to  $\text{SO}(1) \times \text{SO}(n-1) = \{1\} \times \text{SO}(n-1)$ . The action of this reduced structure group on  $S^{n-1}$  has two fixed points; let  $p \in S^{n-1}$  be one of them. Then  $\{p\} \hookrightarrow S^{n-1}$  is an  $\{1\} \times \text{SO}(n-1)$ -equivariant Moore approximation for every degree  $0 < k < n$ .  $\square$

**Example 12.2.** A symplectic toric manifold is a quadruple  $(M, \omega, T^n, \mu)$ , where  $M$  is a  $2n$ -dimensional, compact, symplectic manifold with non-degenerate closed 2-form  $\omega$ , there is an effective Hamiltonian action of the  $n$ -torus  $T^n$  on  $M$ , and  $\mu : M \rightarrow \mathbb{R}^n$  is a choice of moment map for this action. There is a one-to-one correspondence between such  $2n$ -dimensional symplectic toric manifolds and so-called Delzant polytopes in  $\mathbb{R}^n$ , [19], given by the assignment

$$(M, \omega, T^n, \mu) \mapsto \Delta_M := \mu(M).$$

Recall that a polytope in  $\mathbb{R}^n$  is the convex hull of a finite number of points in  $\mathbb{R}^n$ . Delzant polytopes in  $\mathbb{R}^n$  have the property that each vertex has exactly  $n$  edges adjacent to it and for each vertex  $p$ , every edge adjacent to  $p$  has the form  $\{p + tu_i \mid T_i \geq t \geq 0\}$  with  $u_i \in \mathbb{Z}^n$ , and  $u_1, \dots, u_n$  constitute a  $\mathbb{Z}$ -basis of  $\mathbb{Z}^n$ .

Section 3.3 of [18] uses the Delzant polytope  $\Delta_M$  to construct Morse functions on  $M$  as follows: Let  $X \in \mathbb{R}^n$  be a vector whose components are independent over  $\mathbb{Q}$ . Then  $X$  is not



parallel to any facet of  $\Delta_M$  and the orthogonal projection  $\pi_X : \mathbb{R}^n \rightarrow \mathbb{R}$  onto the line spanned by  $X$ ,  $\pi_X(Y) = \langle Y, X \rangle$ , is injective on the vertices of  $\Delta_M$ . By composing the moment map  $\mu$  with the projection  $\pi_X$ , one obtains a Morse function  $f_X = \pi_X \circ \mu : M \rightarrow \mathbb{R}$ ,  $f_X(q) = \langle \mu(q), X \rangle$ , whose critical points are precisely the fixed points of the  $T^n$  action. The images of the fixed points under the moment map are the vertices of  $\Delta_M$ . Since the coadjoint action is trivial on a torus,  $T^n$  acts trivially on  $\mathbb{R}^n$ , and as  $\mu$  is equivariant, it is thus constant on orbits. Hence the level sets of  $\pi_X \circ \mu$  are  $T^n$ -invariant. The index of a critical point  $p$  is twice the number of edge vectors  $u_i$  of  $\Delta_M$  at  $\mu(p)$  whose inner product with  $X$  is negative,  $\langle u_i, X \rangle < 0$ . In particular, the index is always even. For  $a \in \mathbb{R}$ , we set  $M_a = f_X^{-1}(-\infty, a] \subset M$ .

Suppose that one can choose  $X$  in such a way that the critical points satisfy:

- (C) For any two critical points  $p, q$  of  $f_X$ , if the index of  $p$  is larger than the index of  $q$ , then  $f_X(p) > f_X(q)$ .

Then, since  $f_X$  is Morse, for each critical value  $a$  of  $f_X$  the set  $M_{a+\epsilon}$  is homotopy equivalent to a CW-complex with one cell attached for each critical point  $p$  with  $f_X(p) < a + \epsilon$ . (Here  $\epsilon > 0$  has been chosen so small that there are no critical values of  $f_X$  in  $(a, a + \epsilon]$ .) The dimension of the cell associated to  $p$  is the index of  $f_X$  at  $p$ . Let  $2i$  be the index of any critical point  $p \in M_{a+\epsilon}$  with  $f_X(p) = a$ . If  $q \in M_{a+\epsilon}$  is an arbitrary critical point of  $f_X$ , then  $f_X(q) \leq f_X(p) = a$  and thus the index of  $q$  is at most  $2i$  by condition (C). Thus  $M_{a+\epsilon}$  contains all cells of  $M$  that have dimension at most  $2i$  and no other cells. Since  $M$  has only cells in even dimensions, the cellular chain complex of  $M$  has zero differentials in all degrees. Thus, since  $f_X$  is equivariant,  $M_{a+\epsilon} \hookrightarrow M$  is a  $T^n$ -equivariant Moore approximation of degree  $2i + 1$  (and of degree  $2i + 2$ ), and is a smooth manifold with boundary.

A particular case of this is the complex projective space  $(\mathbb{C}\mathbb{P}^n, \omega_{\text{FS}}, T^n, \mu)$ , where  $\omega_{\text{FS}}$  is the Fubini-Study symplectic form and  $T^n$  acts on  $\mathbb{C}\mathbb{P}^n$  by

$$(e^{it_1}, \dots, e^{it_n}) \cdot (z_0 : z_1 : \dots : z_n) = (z_0 : e^{it_1} z_1 : \dots : e^{it_n} z_n).$$

On page 26 of [31], an equivariant Morse function with  $n+1$  critical points is constructed, the  $i$ -th one having index  $2i$  and critical value  $i$ . Using this we obtain equivariant Moore approximations to  $\mathbb{C}\mathbb{P}^n$  of every degree with respect to the torus action.

In the case that  $M$  is 4-dimensional, condition (C) is satisfied. The Delzant polytope  $\mu(M)$  associated to a 4-dimensional symplectic toric manifold  $(M, \omega, T^2, \mu)$  is a 2-dimensional polytope in  $\mathbb{R}^2$ . As  $M$  is compact,  $f_X$  attains its minimum  $m$  and its maximum  $m'$  on  $M$ . Let  $p_{\min} \in M$  be a critical point with  $f_X(p_{\min}) = m$  and let  $p_{\max} \in M$  be a critical point with  $f_X(p_{\max}) = m'$ . Suppose that  $p \in M$  is any critical point such that  $f_X(p) = m$ . Then  $\pi_X \mu(p) = m = \pi_X \mu(p_{\min})$ . The moment images  $v = \mu(p)$  and  $v_{\min} = \mu(p_{\min})$  are vertices of  $\Delta_M$ . Since the projection  $\pi_X$  is injective on vertices, we have  $v = v_{\min}$ . Now as  $\mu$  maps the fixed points (which are precisely the critical points) bijectively onto the vertices, it follows that  $p = p_{\min}$ . This shows that  $p_{\min}$  is unique and similarly  $p_{\max}$  is unique. The index of  $p_{\min}$  is 0, while the index of  $p_{\max}$  is 4. Thus  $\langle u_1, X \rangle \geq 0$  and  $\langle u_2, X \rangle \geq 0$  at  $v_{\min}$  and  $\langle u_1, X \rangle < 0$  and  $\langle u_2, X \rangle < 0$  at  $v_{\max}$ .

Geometrically, this means that the two edges that go out from  $v_{\min}$  point in the same half-plane as  $X$ , while the outgoing edges at  $v_{\max}$  point in the half-plane complementary to the one of  $X$ . If  $v$  is any vertex of the moment polytope different from  $v_{\min}, v_{\max}$ , then by the convexity of  $\Delta_M$ , one of the two outgoing edges must point in  $X$ 's half-plane, while the other outgoing edge points into the complementary half-plane, yielding an index of 2. If  $p \in M$  is a critical point different from  $p_{\min}, p_{\max}$ , then  $\mu(p)$  is a vertex different from  $v_{\min}, v_{\max}$  and thus must have index 2. From this, it follows that condition (C) is indeed satisfied: If  $p, q$  are critical points such that  $p$  has larger index than  $q$ , then there are two cases:  $p$  has index 4 and  $q$  has index in  $\{0, 2\}$ , or  $p$  has index 2 and  $q$  has index 0. In the first case,  $p = p_{\max}$  and in the second case

$q = p_{\min}$ . In both cases it is then clear, using the uniqueness of  $p_{\min}, p_{\max}$ , that  $f_X(p) > f_X(q)$ . We have thus shown:

**Proposition 12.3.** *Every 4-dimensional symplectic toric manifold  $(M, \omega, T^n, \mu)$  has an equivariant Moore approximation  $M_{<k}$  of degree  $k$  for every  $k \in \mathbb{Z}$ . Furthermore, the space  $M_{<k}$  can be chosen to be a smooth compact codimension 0 submanifold-with-boundary of  $M$ .*

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